



# Assessment of forest stands condition in Gorce National Park (South Poland) using an Object Based Image Analysis approach of CIR aerial orthophoto and nDSM derived from stereomatching



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# Introduction (1)



## Forest cover changes in the mountain environment



### Deforestations:



### Afforestations:



#### Factors:

- abiotic: climate, wind, water, snow, temperature, fire, landslides, avalanches;
- biotic: insects, fungi;
- anthropogenic: clear cutings, fire, air pollution.

#### Type:

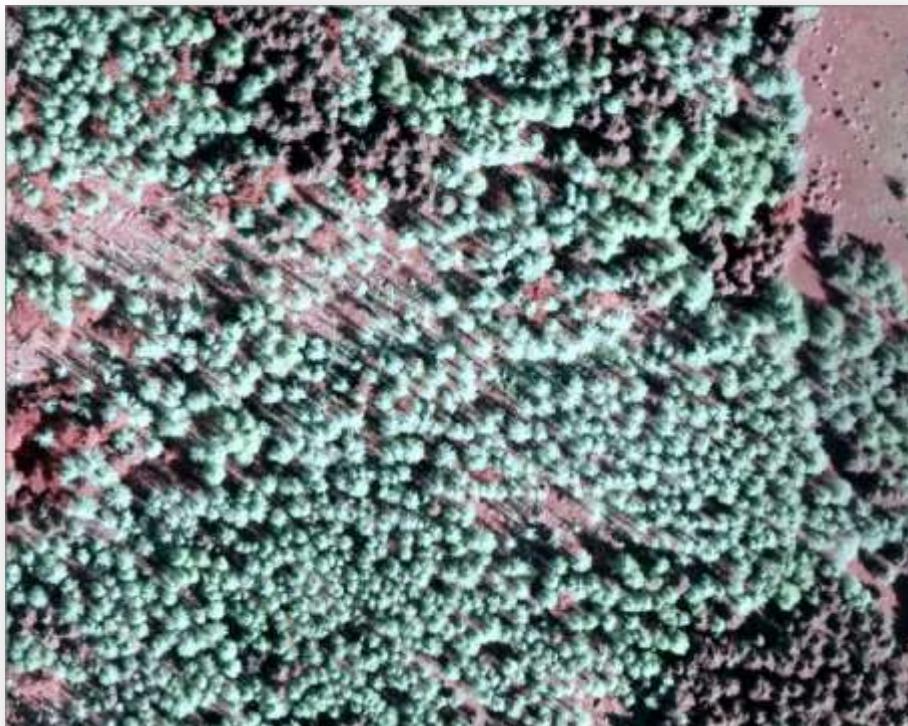
- natural forest regeneration
- secondary forest succession on abandoned agriculture;
- silviculture treatments;
  - agro-forestry;
  - bio-energy plantations



# Introduction (2)

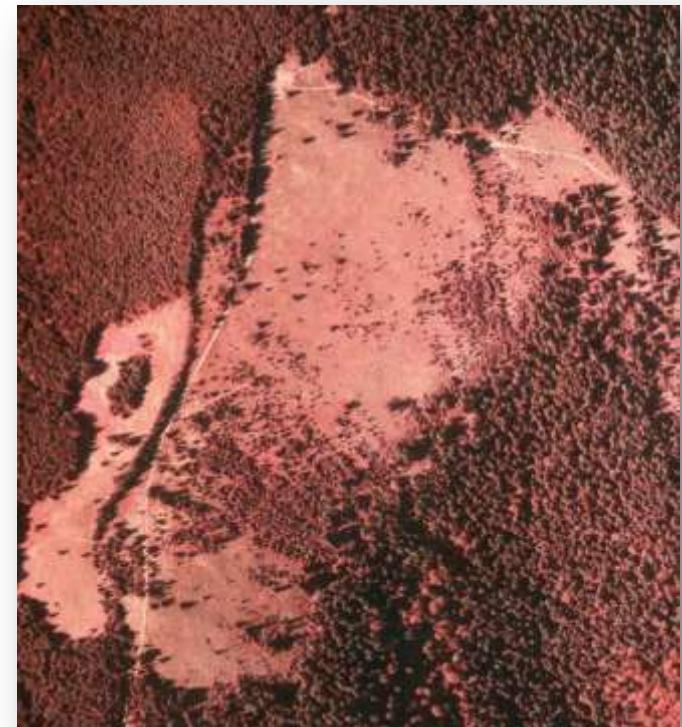
## Forest change

Deforestation



Dead Norway spruce stands (CIR image 2009)

Afforestation

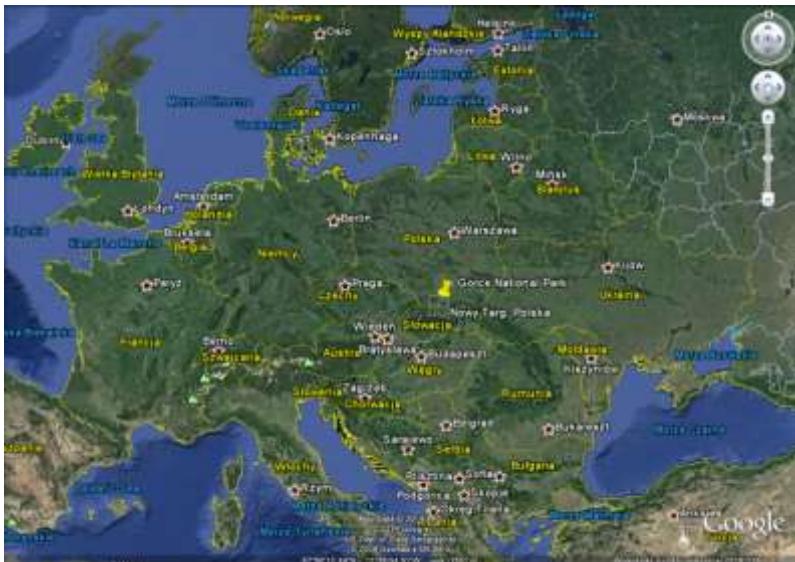


Secondary forest succession (CIR 1997)

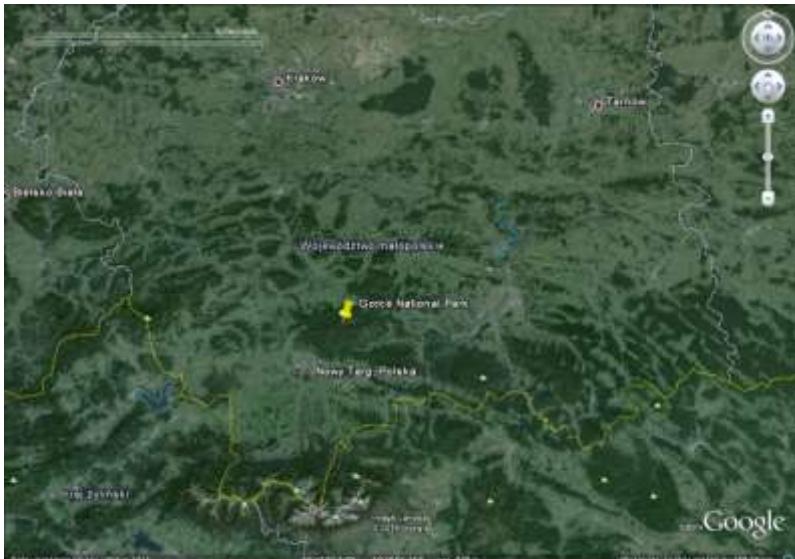


# Study area

## Gorce National Park



**Gorce National Park** – was established in 1981 and cover ~7.030 ha of natural Carpathian forests. Tree species composition (2012): 60% *Dentario glanulose Fagetum*; 15% *Abieti-Piceetum (montanum)* and 25% *Plagiothecio-Piceetum* (>1150m a.s.l.). In 1981, the 95% of Gorce PN area was covered by forest. Today 51% area of GNP is under strict protection. Highest peak of Gorce is Turbacz (1.314,7 m a.s.l.)





# Landscape transformations in Gorce



Stawieniec meadow – year 1938



Stawieniec meadow in 2011 – M. Mucha (Photo)



Stawieniec – 2008; Akpol, M. Szelest



<http://www.gorczanskipark.pl>

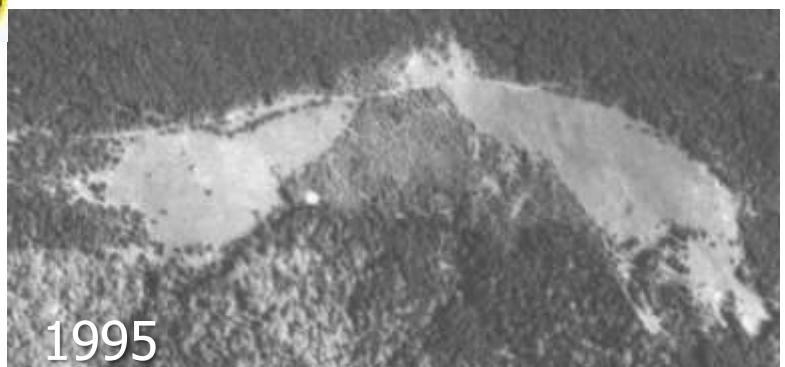


# Archive aerial photographs as input data



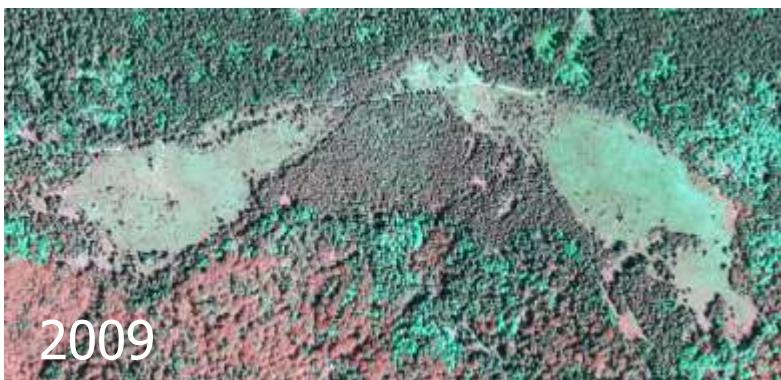
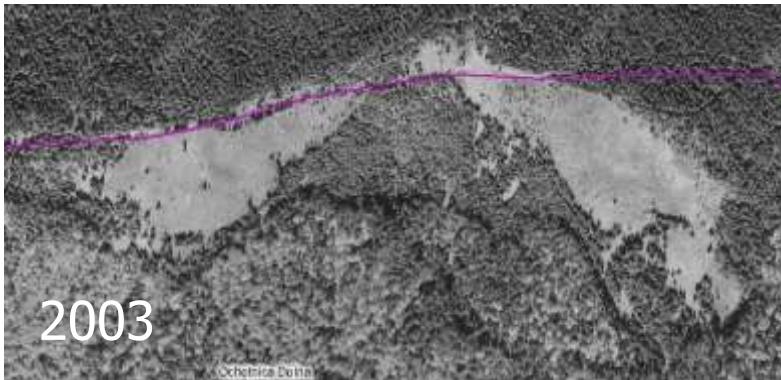
In the Polish archives of aerial photographs (civil and military), the different materials from years: 1946-2012 can be found like: analogue black and white (B&W), color (RGB) and Color InfraRed (CIR) and digital imagery (4 bands) as well.

Archive aerial images of the „Przysłop Górnny” and „Czertezina” meadows in Gorce NP.





# Archive aerial photographs as input data



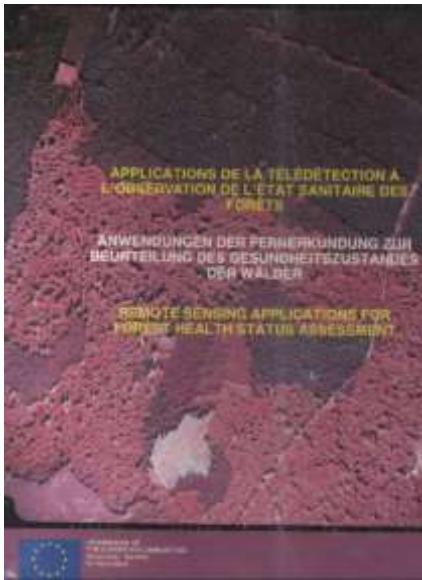


# Photointerpretation key

## CIR aerial photos

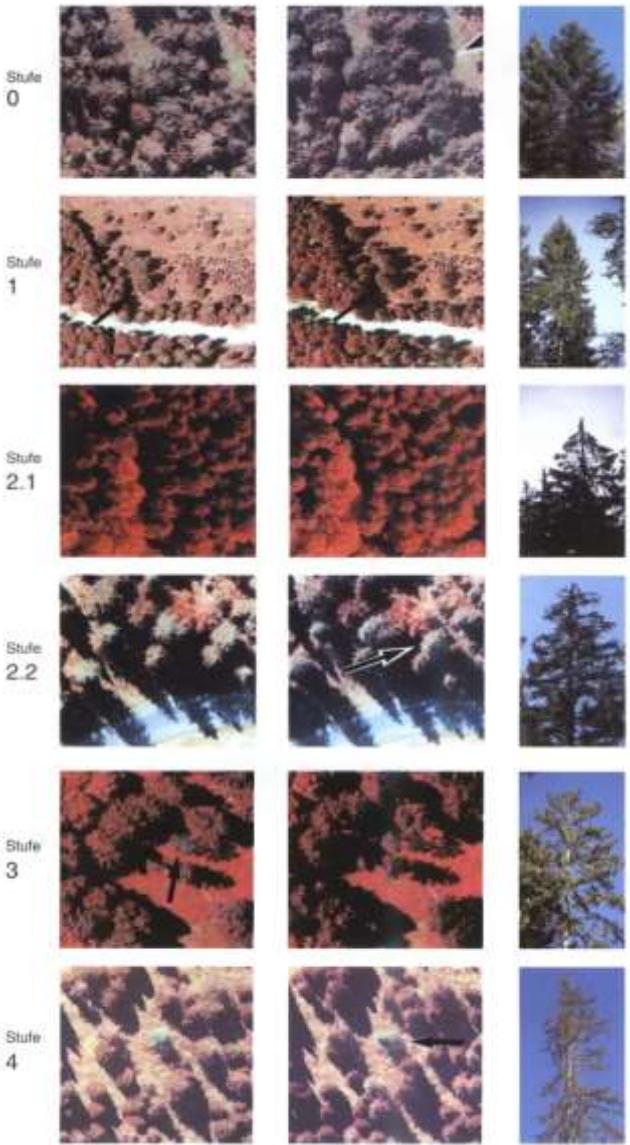


The traditional mapping of forest health condition and type of vegetation based on the **photointerpretation key** of CIR aerial photos. This is a very subjective and time consuming method.



EU guidelines'

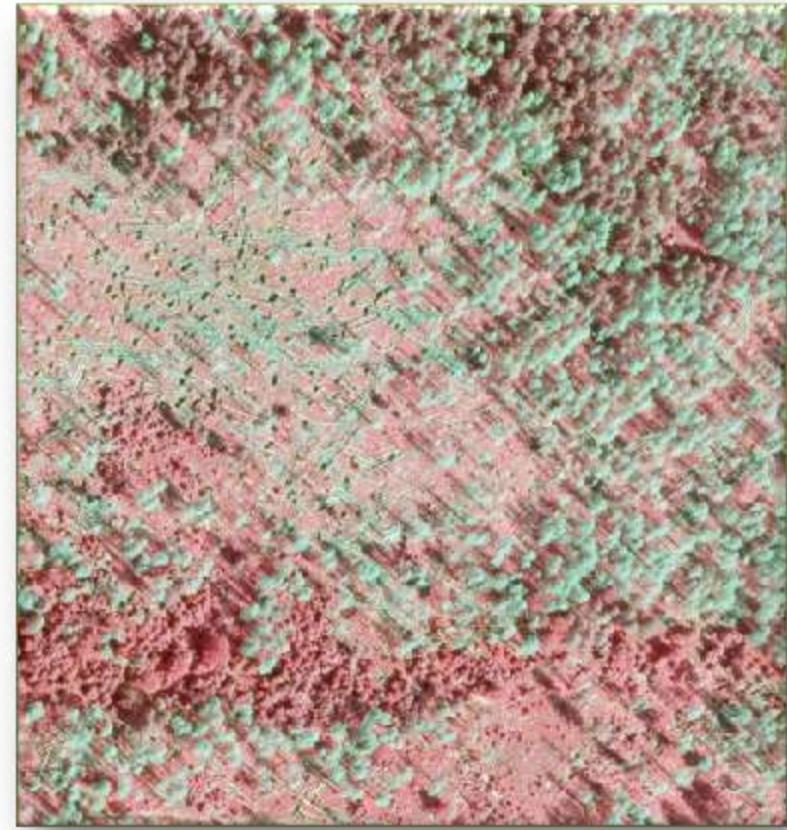
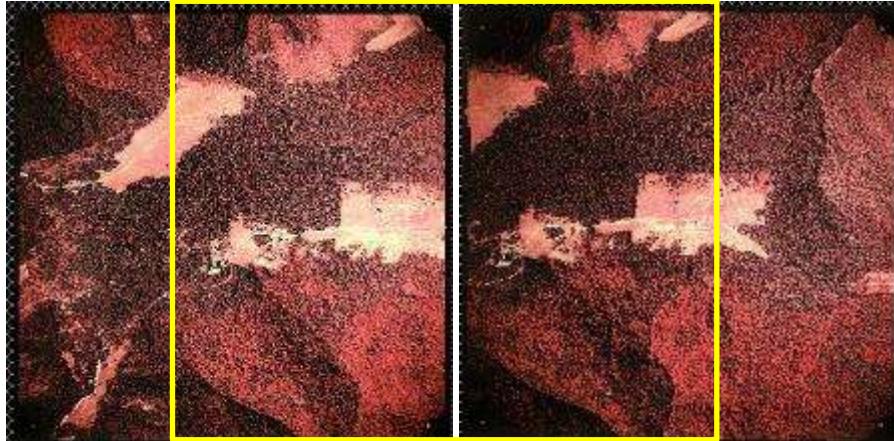
Stufe	Kammfichte	Bürstenfichte
0		
1		
2		
3		





# Forest inventory

## Photogrammetry 3D / 2D



### CIR aerial photos

- archival analogue films (Kodak Aerochrome 2443),
- digital cameras: 4 bands: R, G, B, NIR; high overlap
- 3D photogrammetry work-out of stereograms;
- very accurate mapping (no shifting);

External  
Orientation  
 DTM

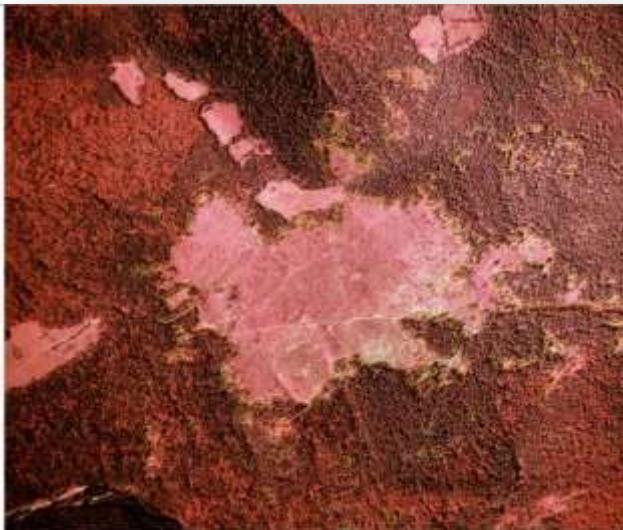
**CIR aerial orthophotomaps:**

- only 2D interpretation;
- effect of central projection;
- geometry problem of tall objects (which are shifted)

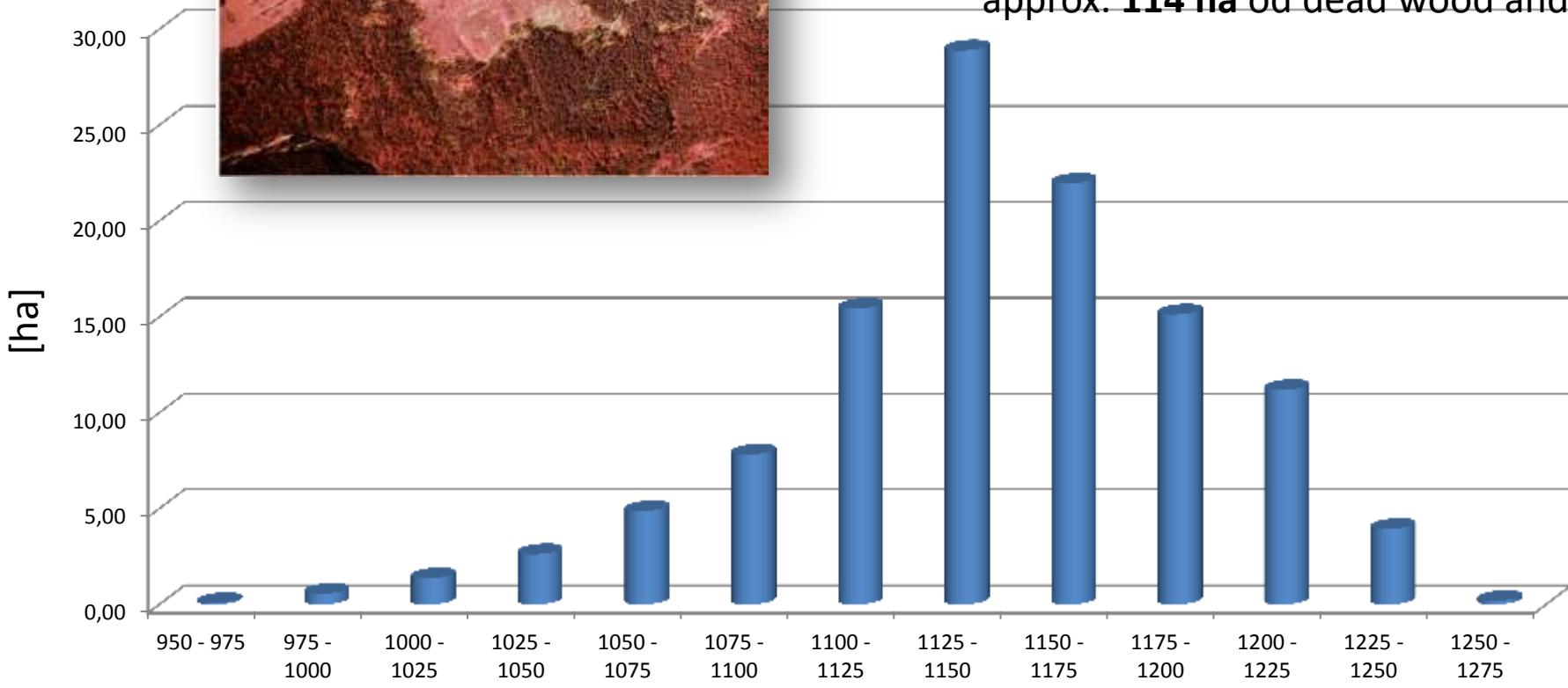


# Material and methods

## Photogrammetry 3D (VSD-AGH) CIR 1997



In Aug. 1997 the analogue CIR Kodak Aerochrome 2443 aerial photos was taken. Later the steropairs were elaborated in 3-D mode using VSD-AGH Soft Copy Station. Mapping of dead Norway spruce stands. approx. **114 ha** od dead wood and gaps

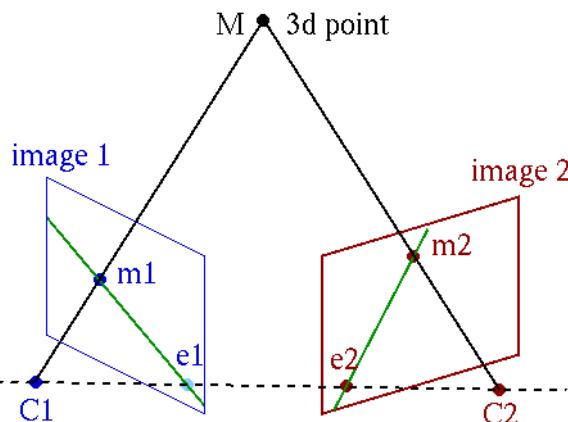
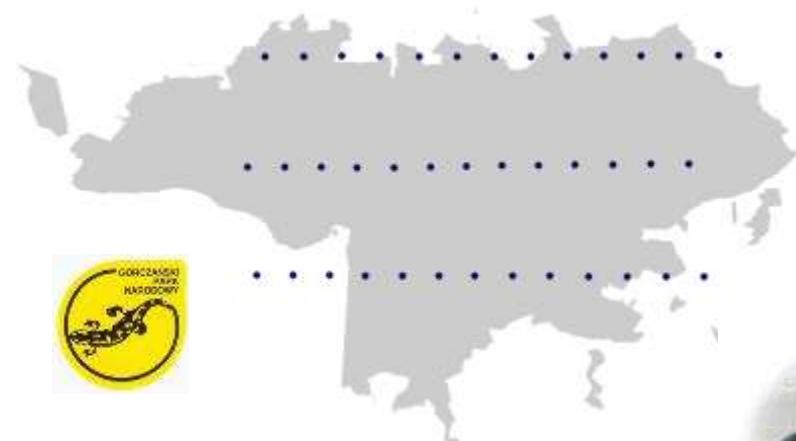




# Material and methods

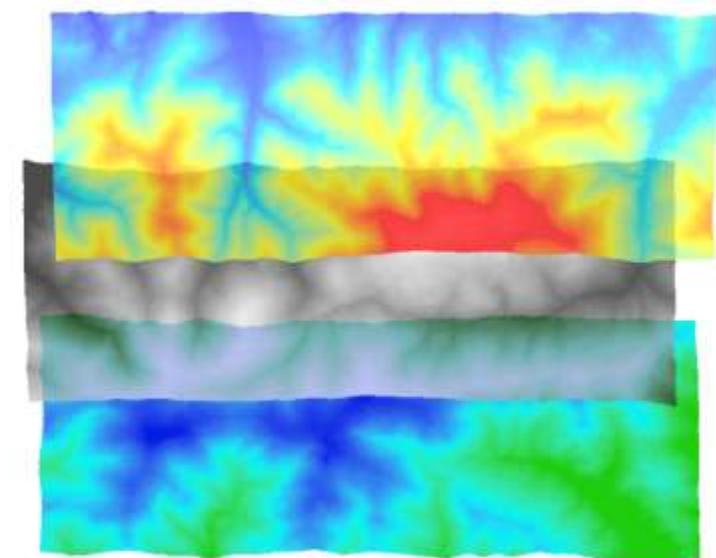
## Stereomatching approach – SGM (Aug. 2009)

main point's of CIR single digital aerial photos (R, G, B, NIR) from Aug. 2009;  
8 bit ; GSD 0.17 m, UltraCam Xp, VEXCEL



Panchromatic Camera	
Large Format Panchromatic Output Image	
Image Format	long track
	67.960mm
	113.060mm
Image Extent	area track
	113.060mm
	173.100mm
Pixel Size	6.000µm <sup>2</sup> /0.0001mm <sup>2</sup>
Focal Length	100.000mm ± 0.000mm
Principal Point (Level 2)	X <sub>ppp</sub> Y <sub>ppp</sub>
	0.120 mm ± 0.000mm
Lens Distortion	Remaining Distortion less than 0.000mm

generation map of disparity  
using Semi-Global Matching



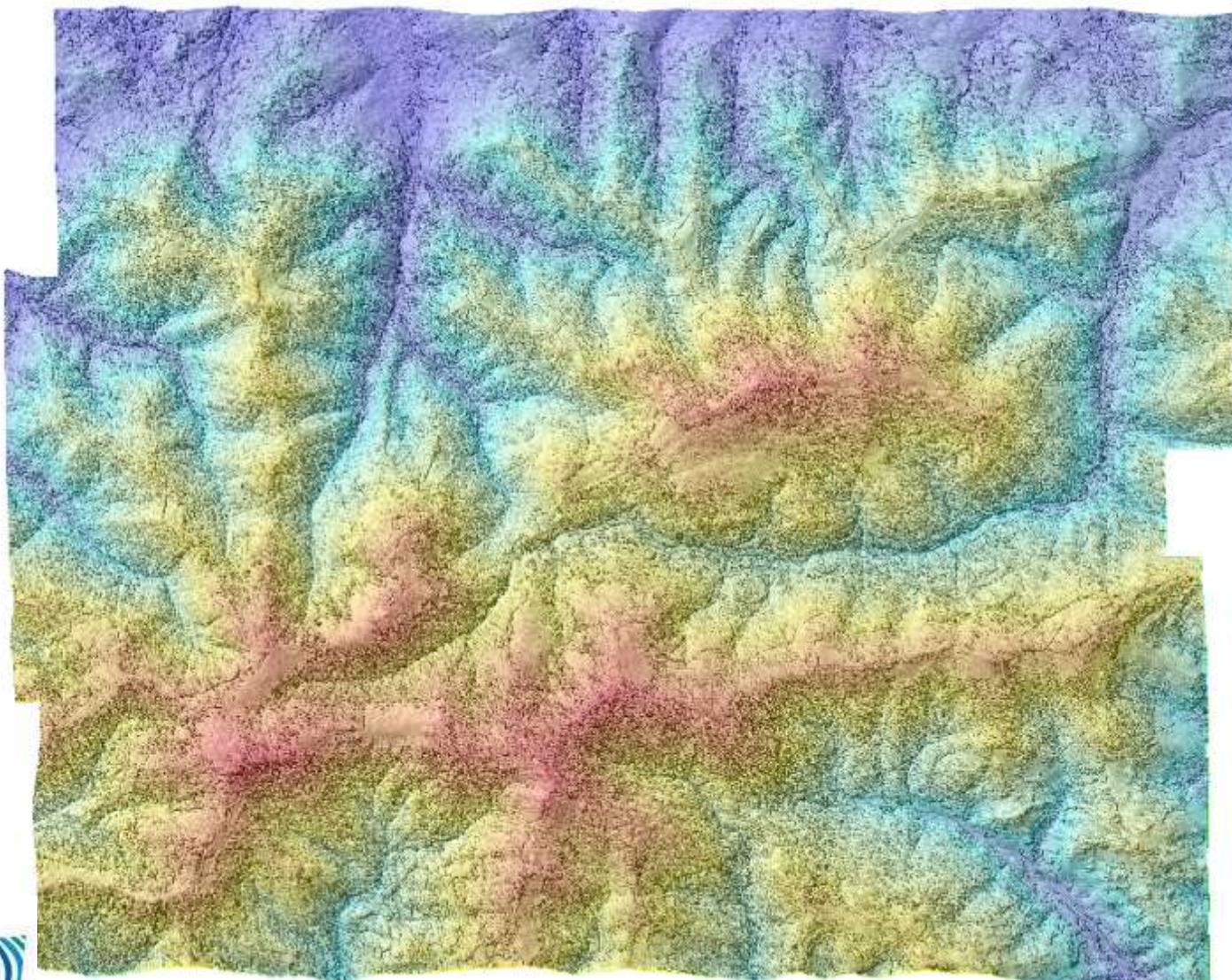
Stereomatching - DSM mosaic





# Material and methods

## Stereomatching approach – SGM

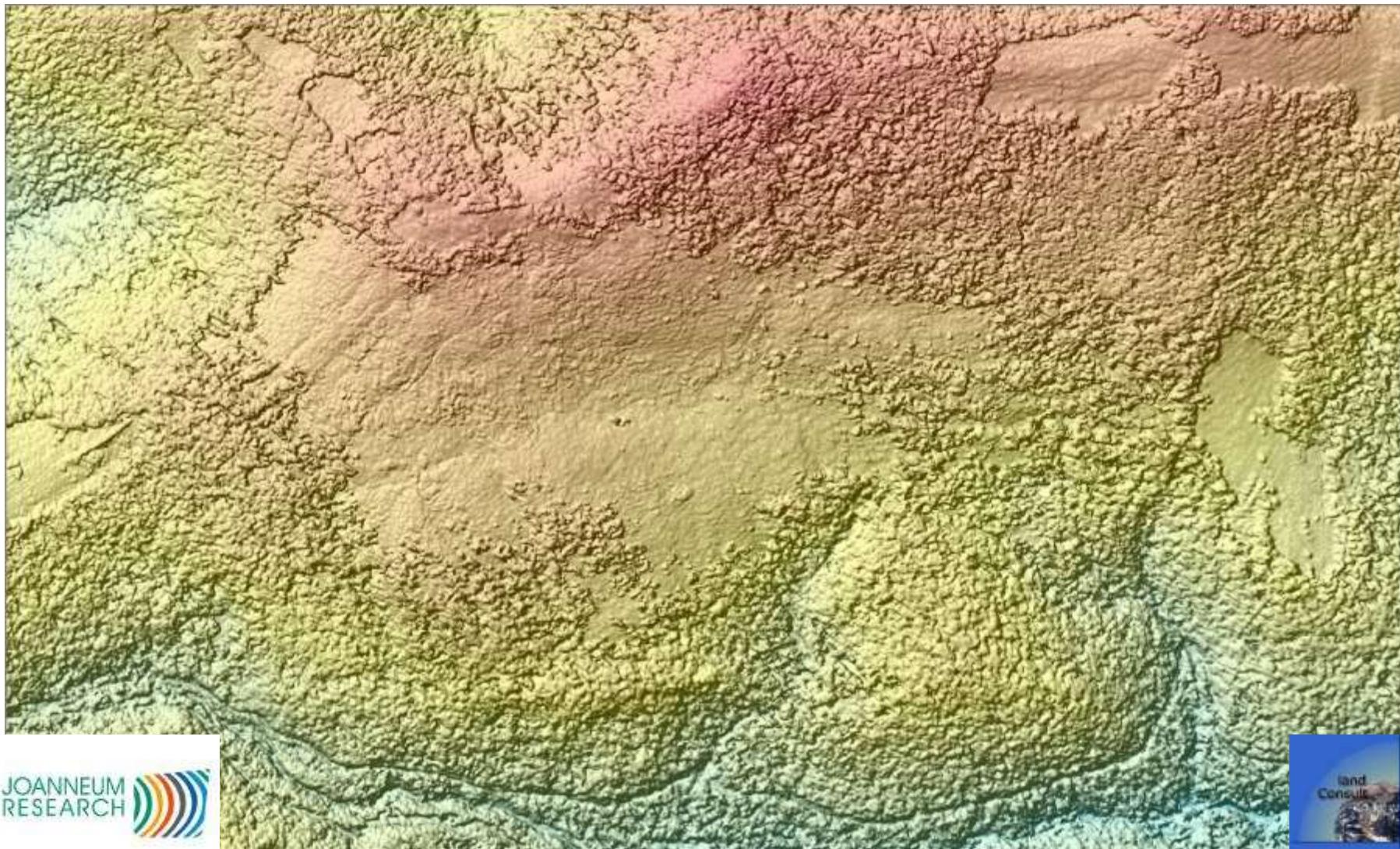


DSM stereomatching – mosaic for GPN study area (ArcGIS Esri)



# Material and methods

Stereomatching approach – SGM

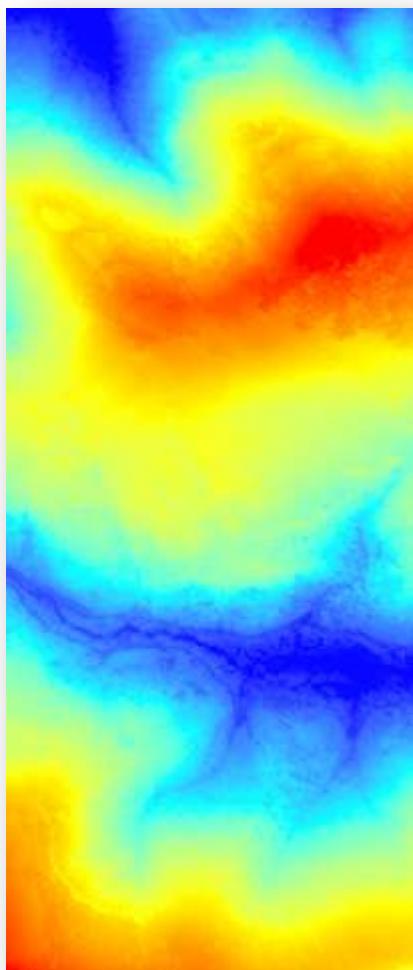


DSM stereomatching (Semi Global Matching - RSG – Kudlon (GPN))



# Methods

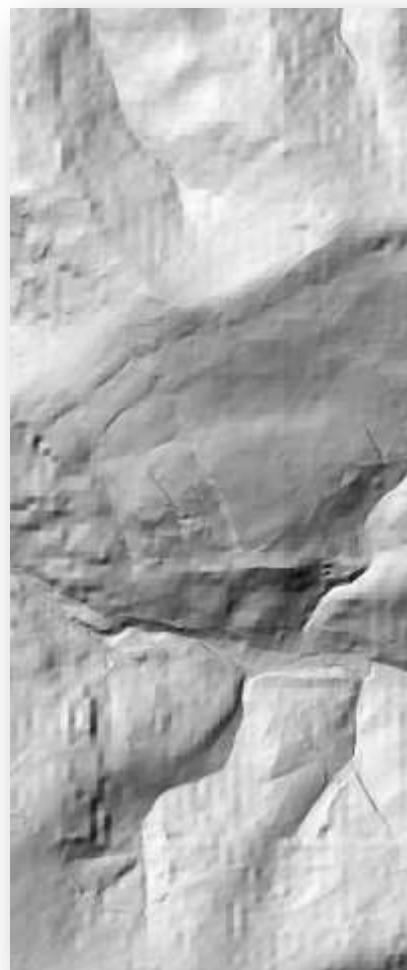
Deriving nDSM from stereomatching using reference DTM



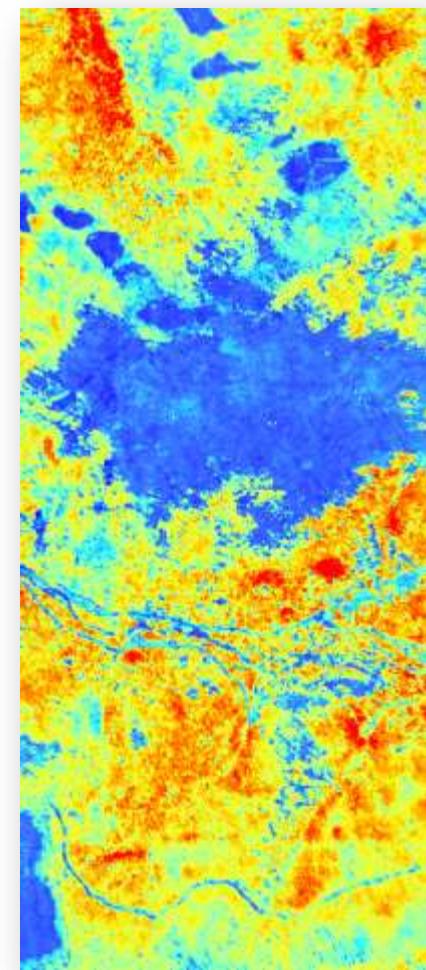
**DSM** stereo-matching  
32-1288 m a.s.l.)



**DSM  
minus  
DTM**



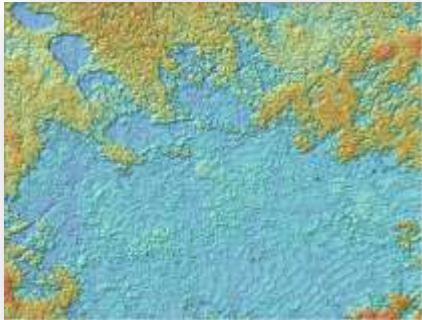
**DTM (LPIS)** reference  
(864-1273 m a.s.l.)



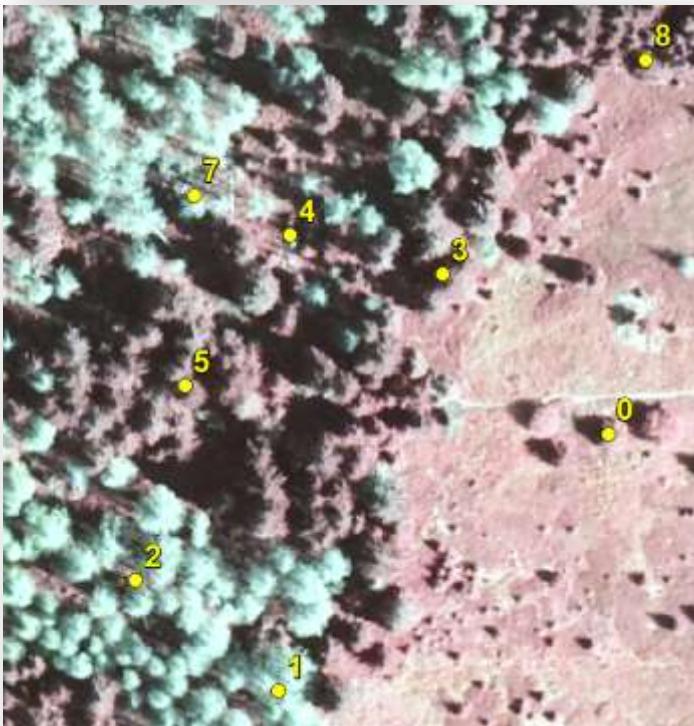
**nDSM** (stereomatching)  
(0-42m)

# Methods

## nDSM Quality Control



The Quality Control was performed using the 3D photogrammetric workout based on the DEPHOS SoftCopy Station and the stereopairs.



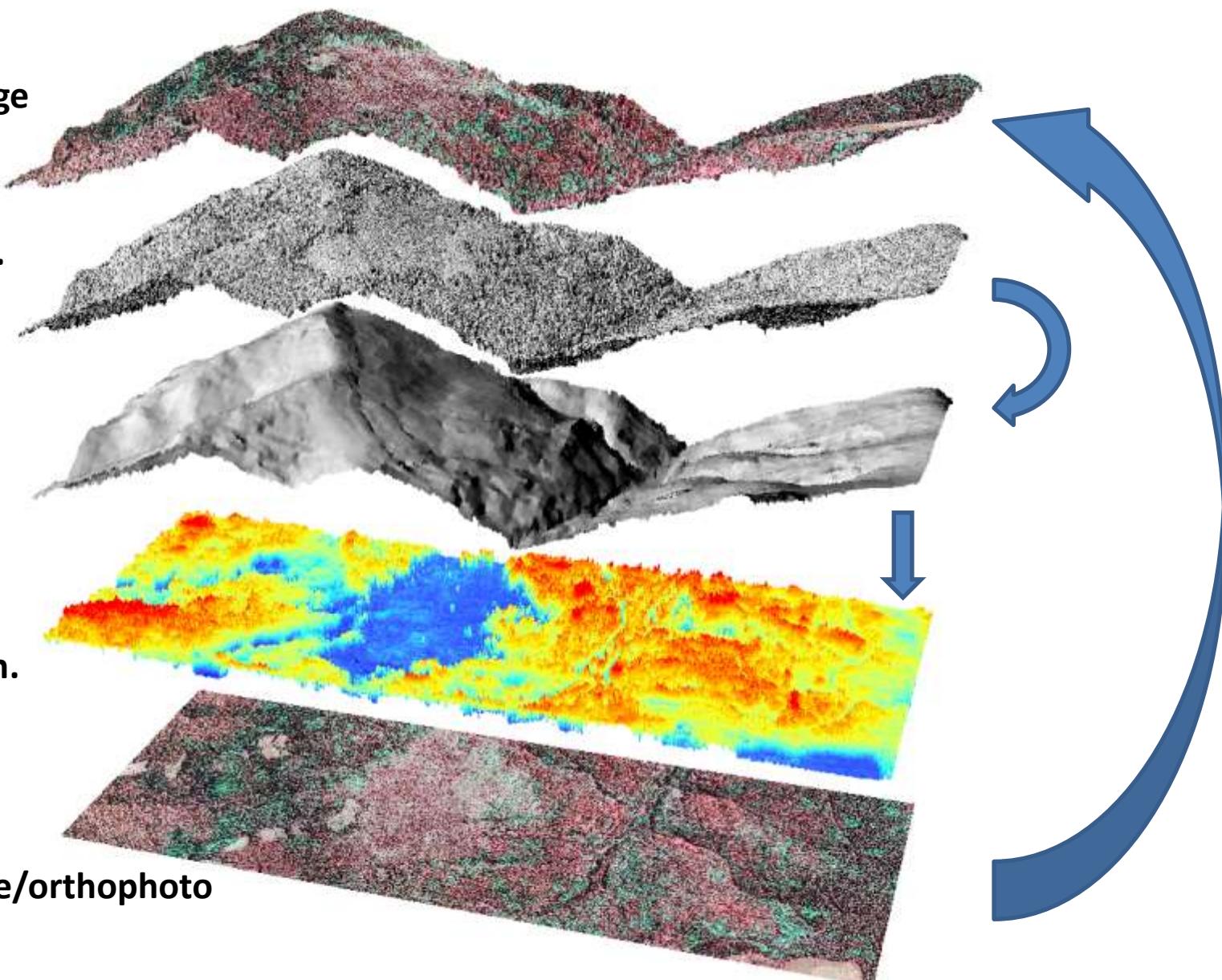
Id	Rel. hight Photo 3D [m]	Hight nDSM stereomatching [m]	Differences Photo 3D – nDSM [m]
0	6,2	3,2	3,00
1	24,6	21,81	2,79
2	20,7	20,7	0,00
3	13,4	11,32	2,08
4	14,5	11,95	2,55
5	20,2	14,48	5,72
6	20,7	18,74	1,96
7	14,5	11,57	2,93
8	10,5	9,02	1,48

**mean difference = 2,51 m**



# Different models and images used

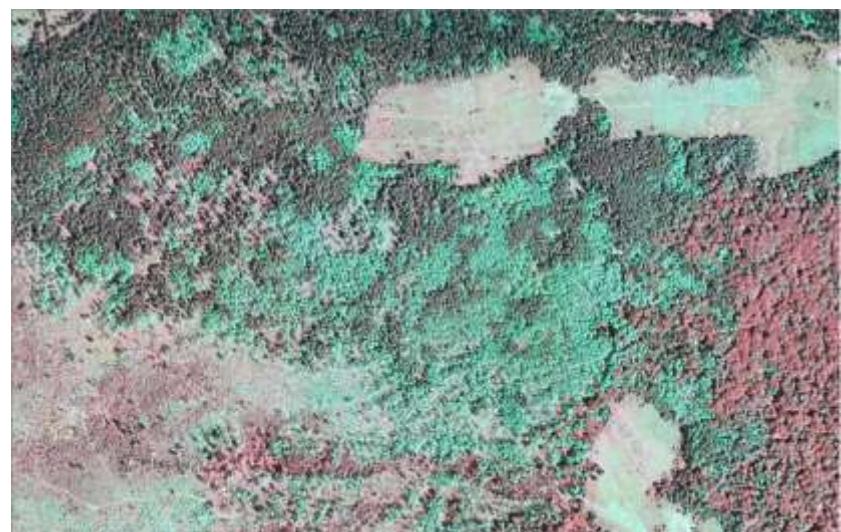
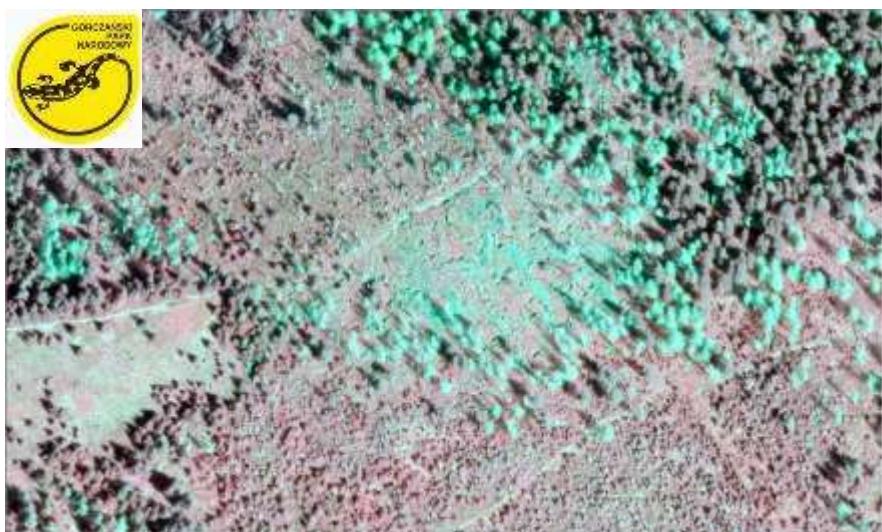
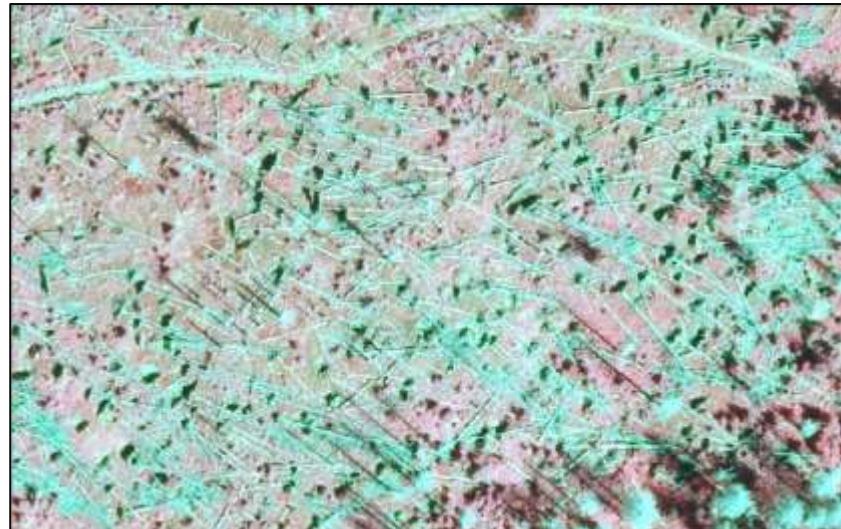
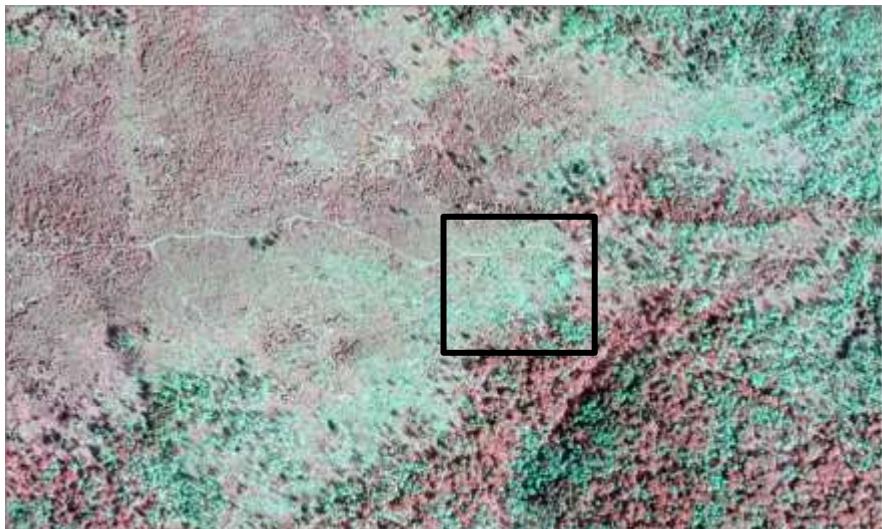
draped CIR image  
on the DTM





# Material

Dead Norway spruce stands on CIR aerial orthophoto 2009

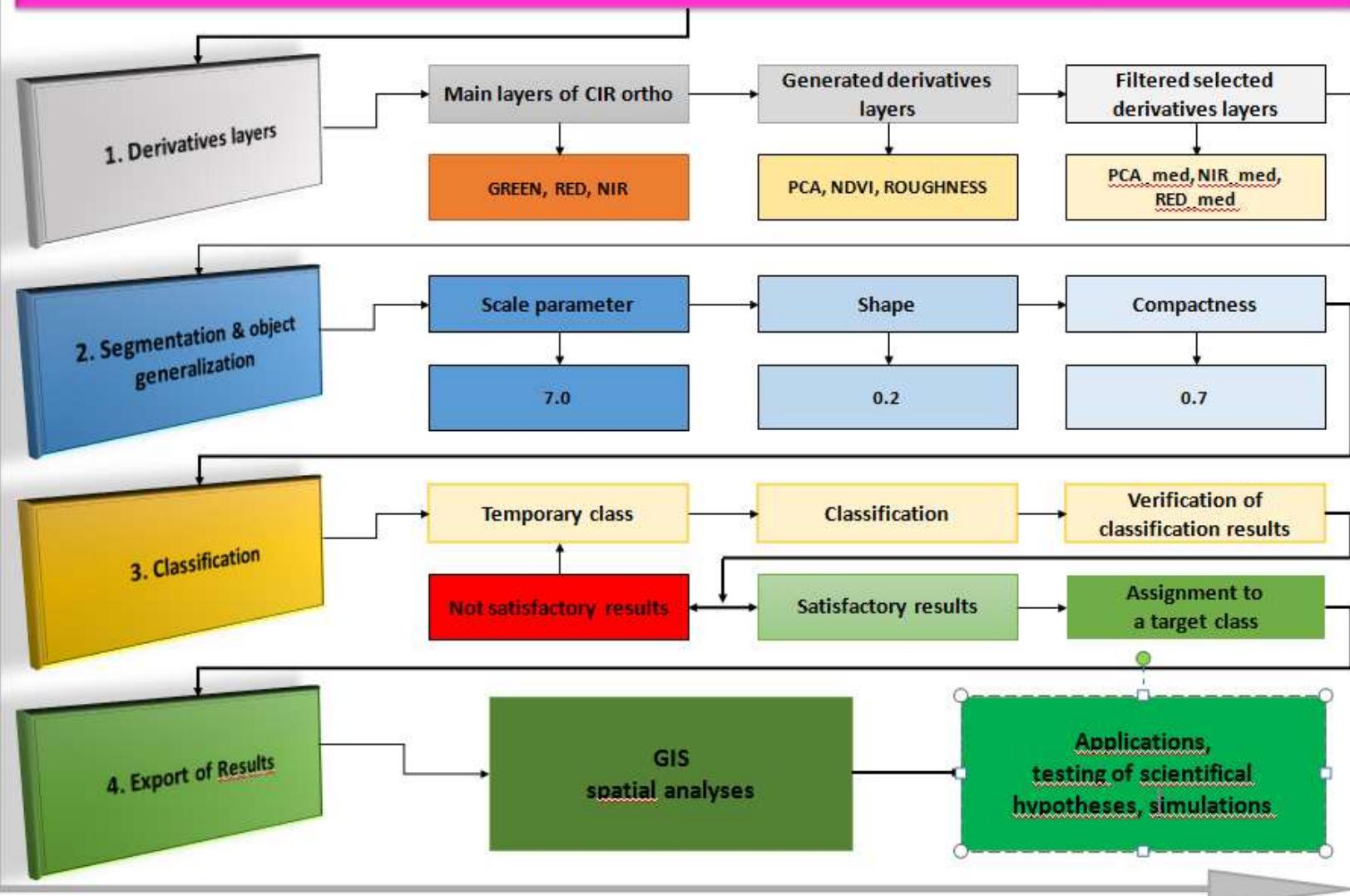




# Methods - GEOBIA (0)



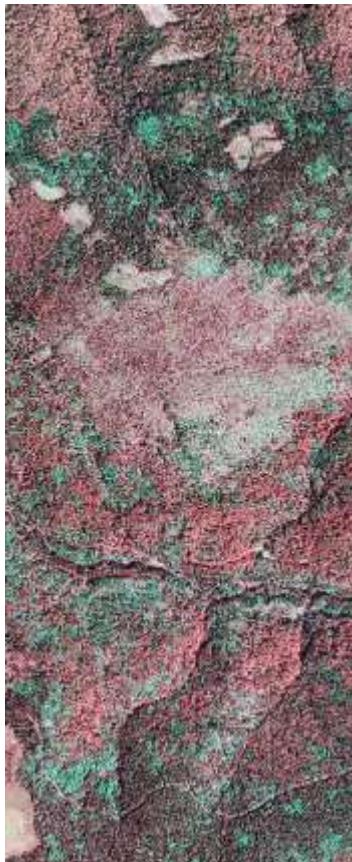
## GEOBIA approach work-flow



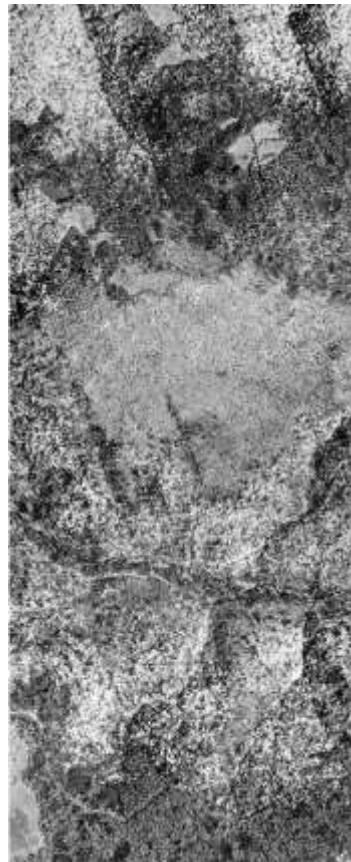


# Methods - GEOBIA (1)

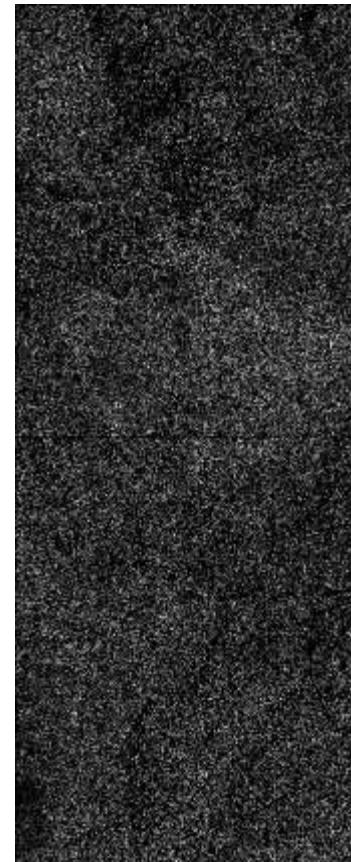
Data input - derivative layers NDVI, Roughness



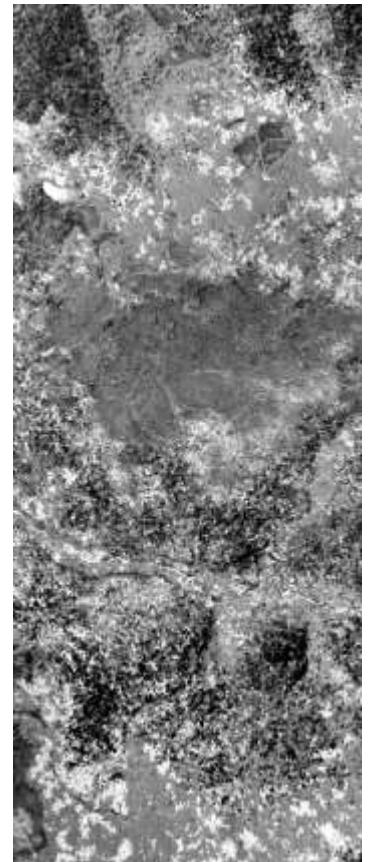
CIR  
2009



NDVI  
2009



Lee Sigma Bright  
2009

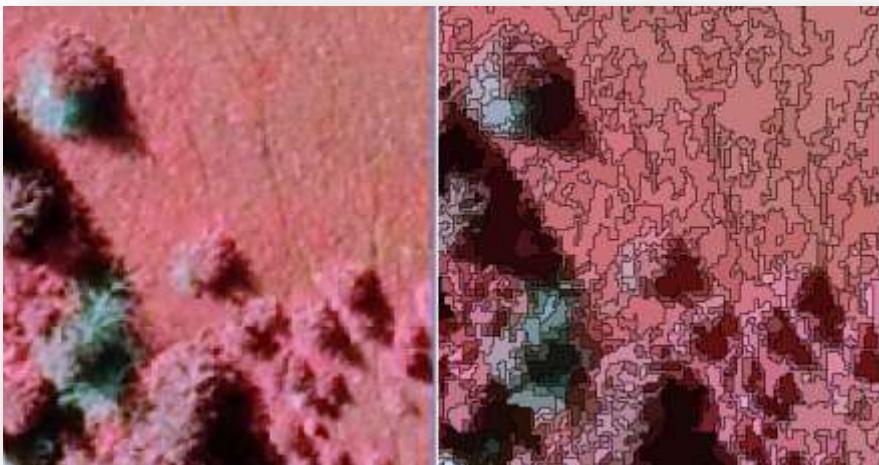


Roughness  
2009

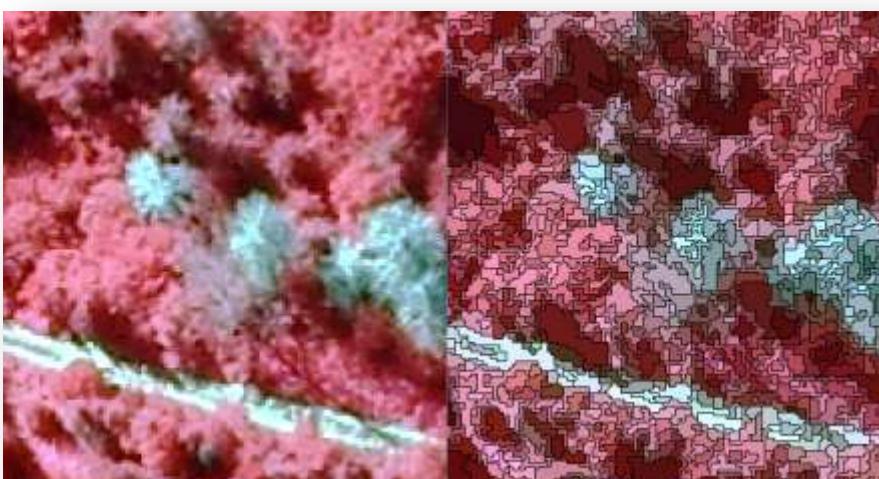


# Methods - GEOBIA (2)

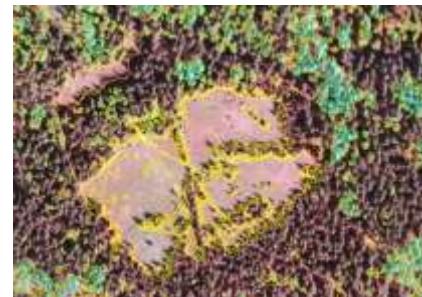
## Rule-set



examples of segmentation



```
• Gorcze 2009
  └ set rule set options
    └ temporary layers
      └ if Existence of image layer ndvi = 0 : layer arithmetics (val "(CIR1 - CIR2)\(CIR1 + CIR2)", layer ndvi[float])
      └ if Existence of image layer CIR1_median = 0 : median filter (7 x 1): 'CIR1' => 'CIR1_median'
        └ if Existence of image layer roughness = 0
          └ roughness
    └ Segmentation
      └ 7 [shape:0.2 compact:0.7] creating 'New Level'
    └ Classification
      └ Shadows
    └ Dead forest
      └ unclassified with Mean ndvi <= 0 and Mean ndvi <= 0.05 at New Level: templ1
        └ loop: templ1 at New Level: grow into unclassified where ndvi<=0.07 and rel. area of templ1 pixels in (5 x 5) >=0.2
      └ Shadow direction
      └ Super objects
        └ templ1 at New Level: brak_vegetacji
          └ loop: martwe_drzewa at New Level: grow into unclassified with Mean nDSM >= 4 where ndvi<0.25 and CIR2>=140 and rel. area of martwe_drzewa <= 0.2
          └ loop: martwe_drzewa at New Level: grow into unclassified with Mean nDSM >= 4 where ndvi<0.1 and rel. area of martwe_drzewa <= 0.2
          └ martwe_drzewa with Mean nDSM <= 5 at New Level: brak_vegetacji
          └ unclassified with Area <= 12 Pxl at New Level: enclosed by martwe_drzewa: martwe_drzewa +
          └ martwe_drzewa with Area <= 12 Pxl and Rel. border to martwe_drzewa = 0 at New Level: unclassified
          └ martwe_drzewa at New Level: opening: martwe_drzewa
          └ martwe_drzewa at New Level: grow into unclassified with Mean CIR1 >= 90 where rel. area of martwe_drzewa pixels in (5 x 5) >=0.3
        └ do
      └ Forest
      └ Meadows
      └ Succession
      └ Coniferous and Deciduous
      └ Generalization
```



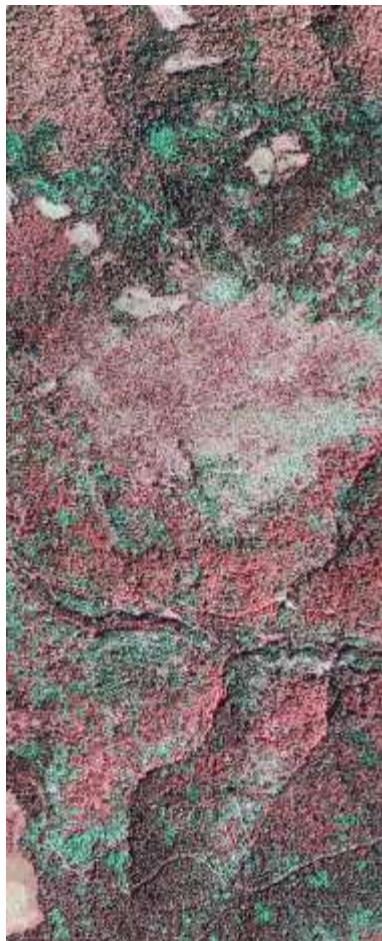
Trimble

eCognition®



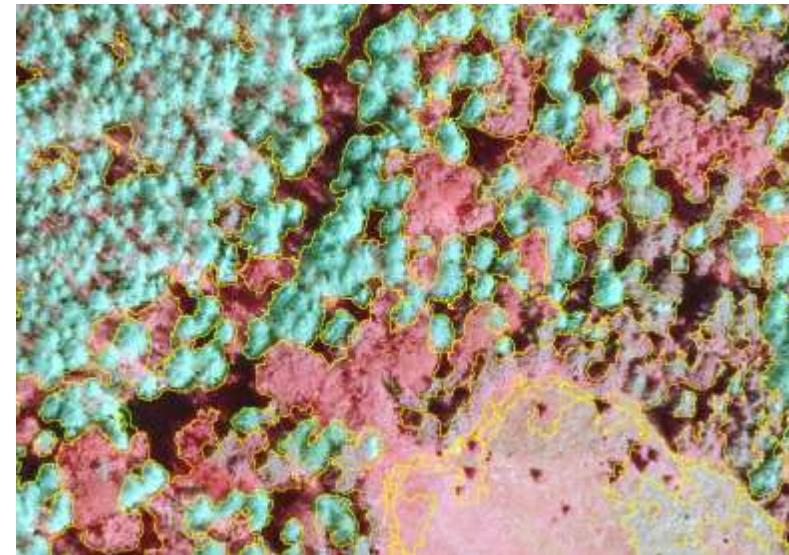
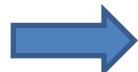
# Results (1)

## GEOBIA objects / classes

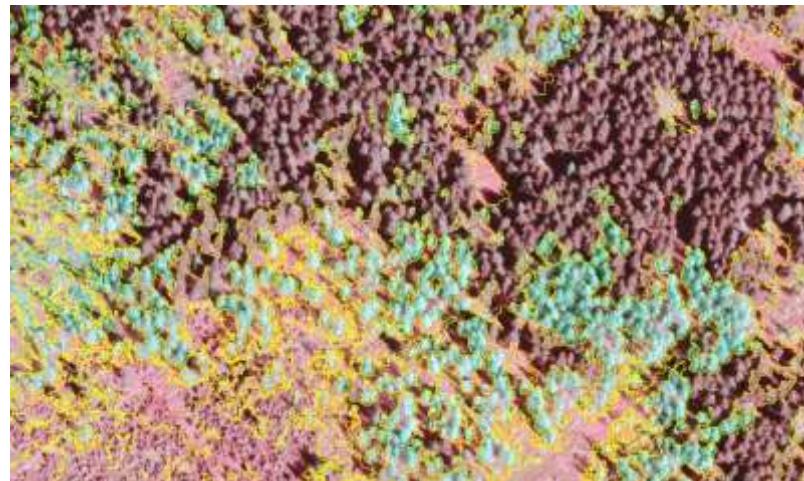


CIR 2009

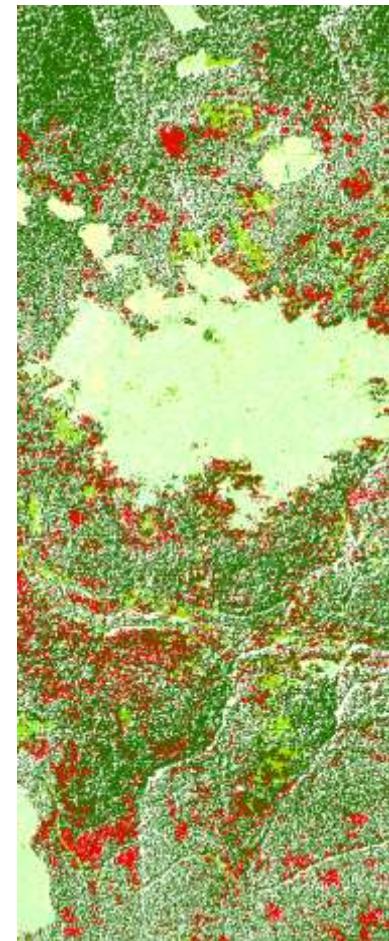
Trimble  
eCognition®



GEOBIA segmentation



IUFRO - Forest Change 2014



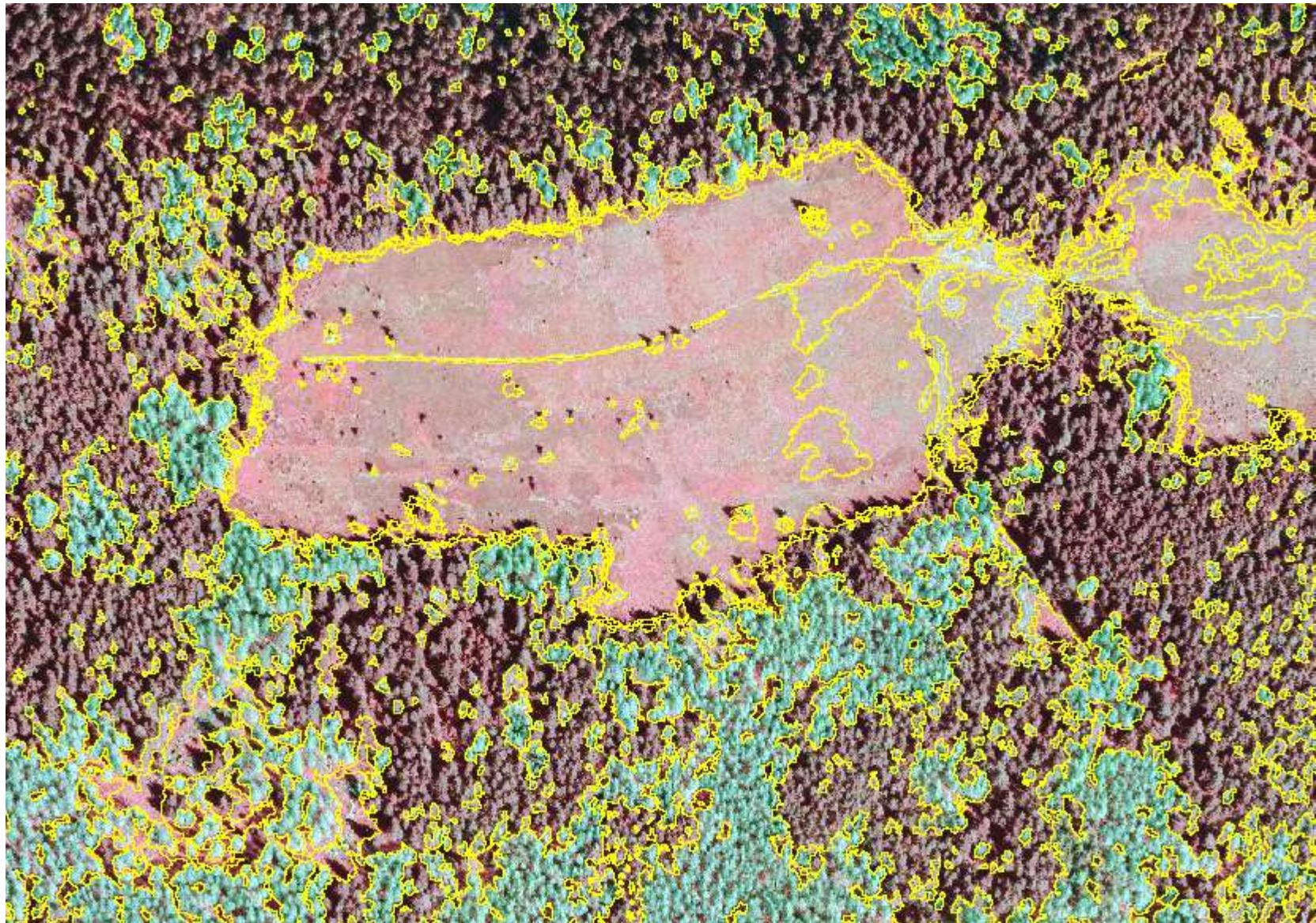
Results for year  
2009





# Results (2)

## GEOBIA objects / classes





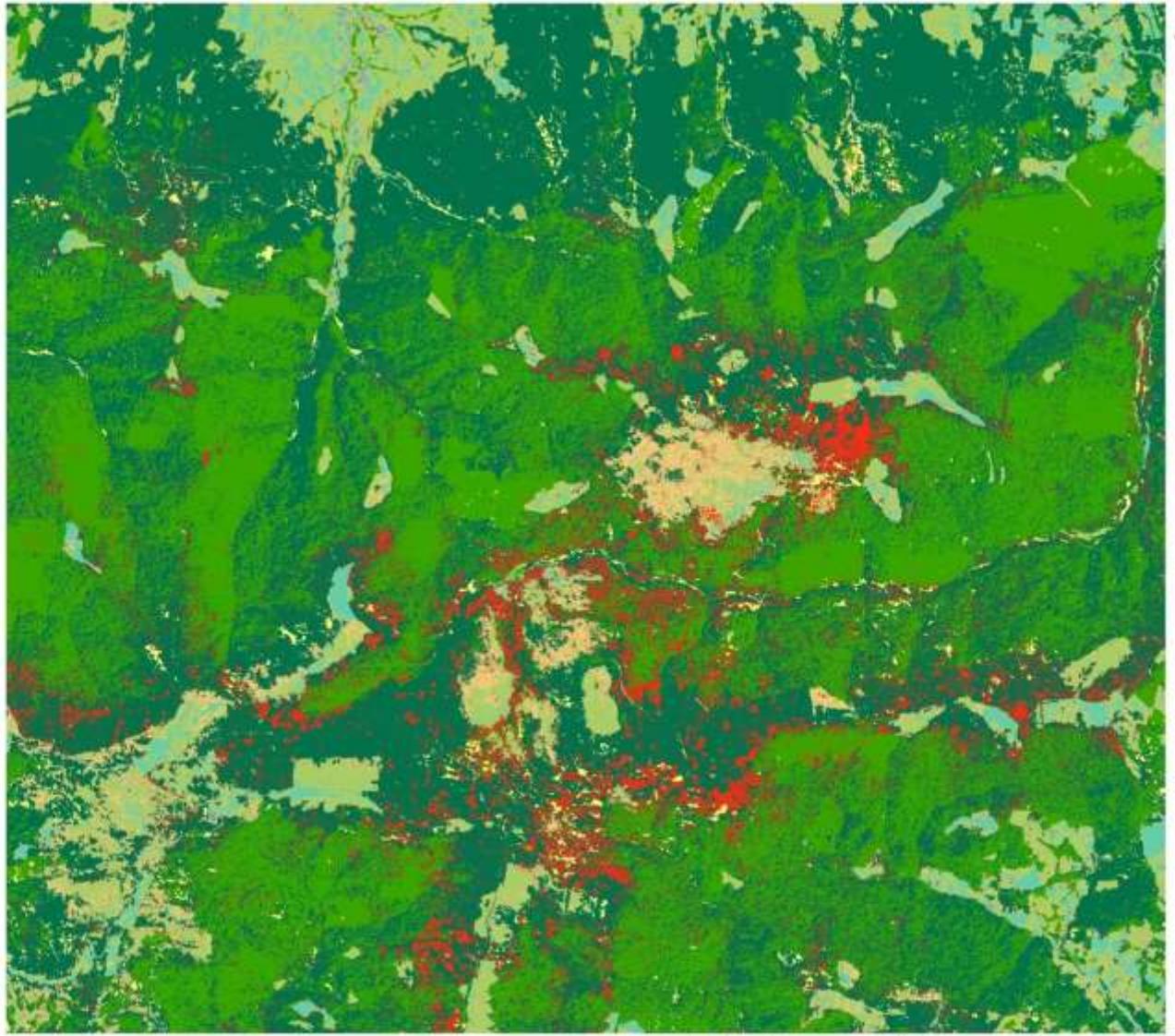
# Results (4)

## GEOBIA LULC map



### Legend:

- [Dark Green] Coniferous forest
- [Medium Green] Deciduous forest
- [Red] Dead forest
- [Yellow] Gaps
- [Light Green] Low vegetation
- [Orange] Succession >2m
- [Brown] Succession >4m
- [Dark Brown] Succession >6m
- [Teal] Bare land
- [Purple] Buildings
- [Black] Shadow



0 0,5 1 2 3 4 [km]



# Results (5)

## GEOBIA objects / classes



LULC class	area [ha]	area [%]
deciduous forest	2.204,3	30,6
health coniferous stands	3.380,5	47,0
dead coniferous forest (Norway spruce)	465,5	6,5
gaps in the canopy	111,1	1,5
secondary forest succession (3 classes: >2 m + >4 m + >6 m)	256,6	3,6
meadows and open areas covered by low vegetation	577,8	8,0
meadows and open areas without vegetation	204,1	2,8
<b>Total</b>	<b>7.200,0</b>	<b>100,0</b>



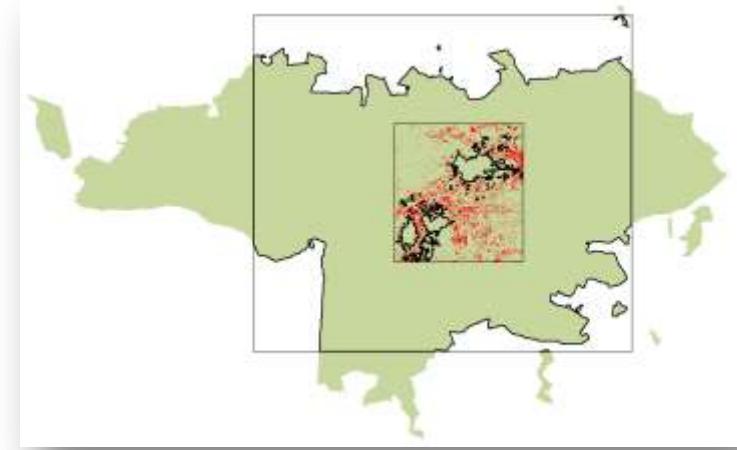
# Results (6)

Basic statistics based on GIS



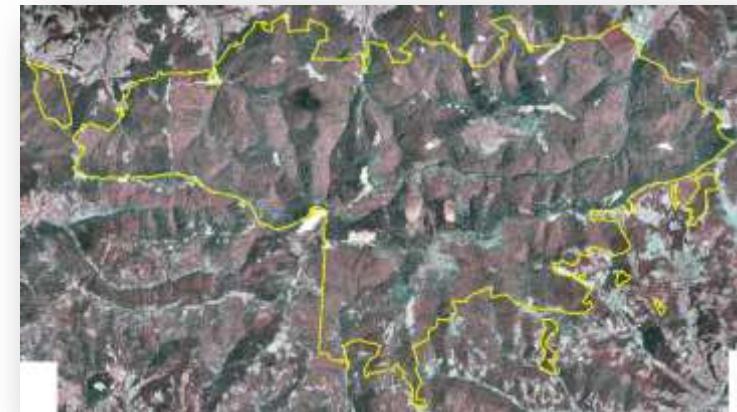
## Analysis inside Gorce NP:

- dead Norway spruce stands: 440,41 ha
- gaps : 67,24 ha
- health coniferous stands : 2.227,18 ha
- health deciduous stands: 1.965,63 ha
- meadow vegetation: 201,37 ha
- secondary forest succession > 2.0 m: 99,37 ha
- secondary forest succession > 4.0 m: 25,69 ha
- secondary forest succession > 6.0 m 10,98 ha



## Analysis outside Gorce NP:

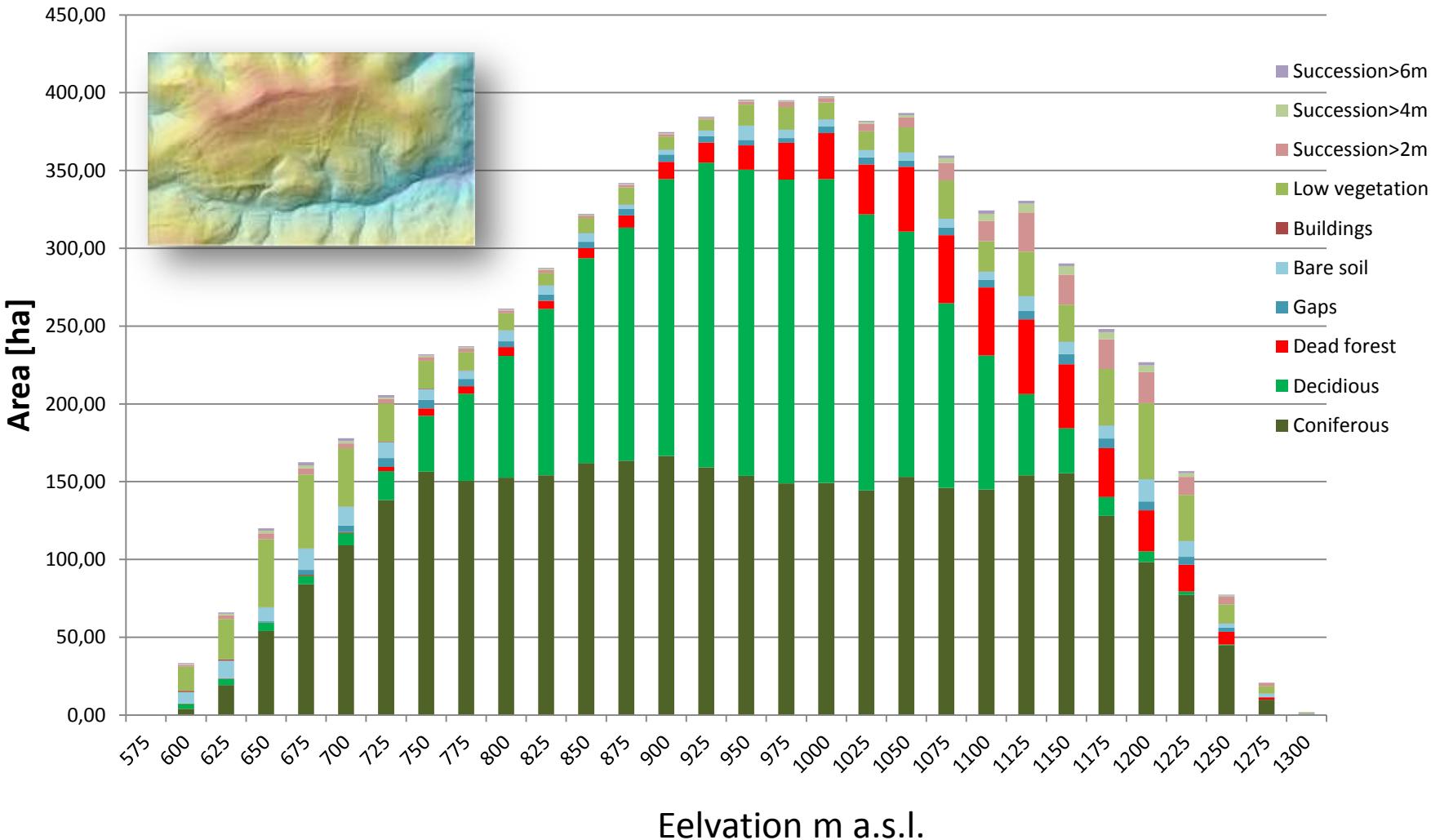
- dead Norway spruce stands: 25,07 ha
- gaps : 43,90 ha
- health coniferous stands: 1.102,22 ha
- health deciduous stands: 238,65 ha
- meadow vegetation: 376,33 ha
- secondary forest succession > 2.0 m: 77,85 ha
- secondary forest succession > 4.0 m: 22,90 ha
- secondary forest succession > 6.0 m 19,58 ha





# Results (7)

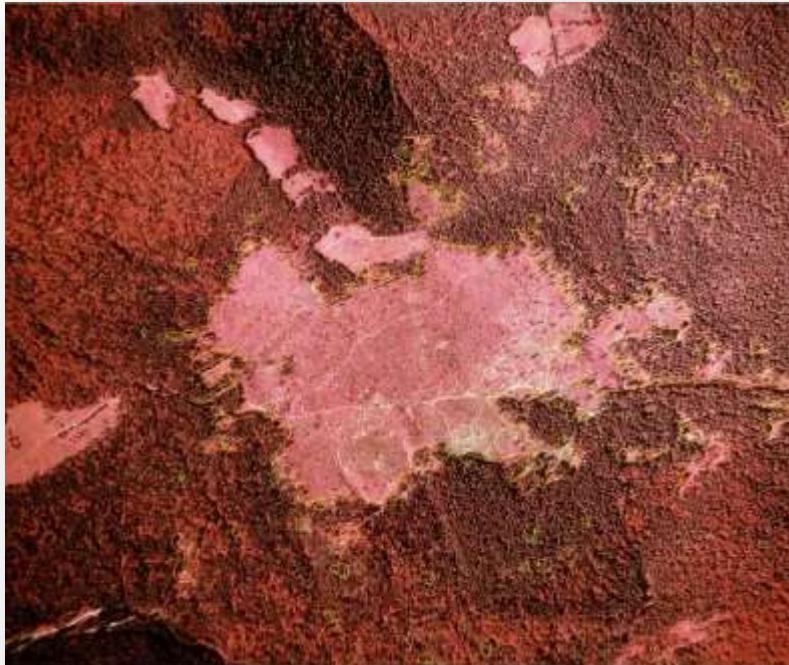
## Vertical distribution of LULC classes



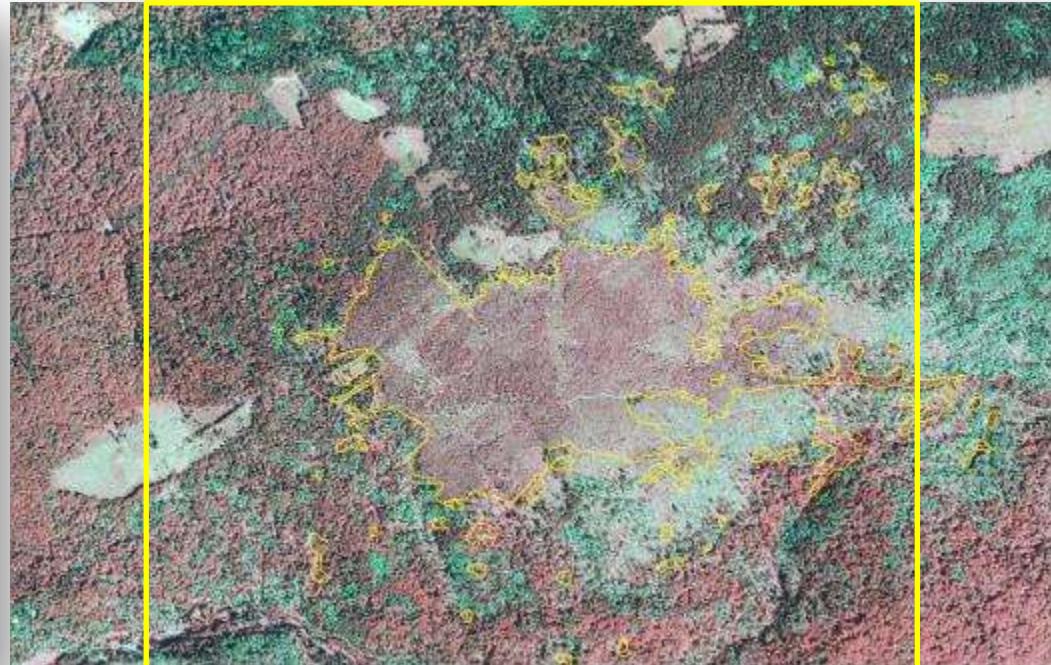


# Results (8)

Forest cover changes: 1997-2011  
Kudłon - test site



CIR aerial orthophoto from year 1997  
yellow vector – 3D stereo-mapping (VSD)  
**114,11 ha** of dead or destroyed Norway  
spruce stands (*Plagiothecio-Piceetum*)

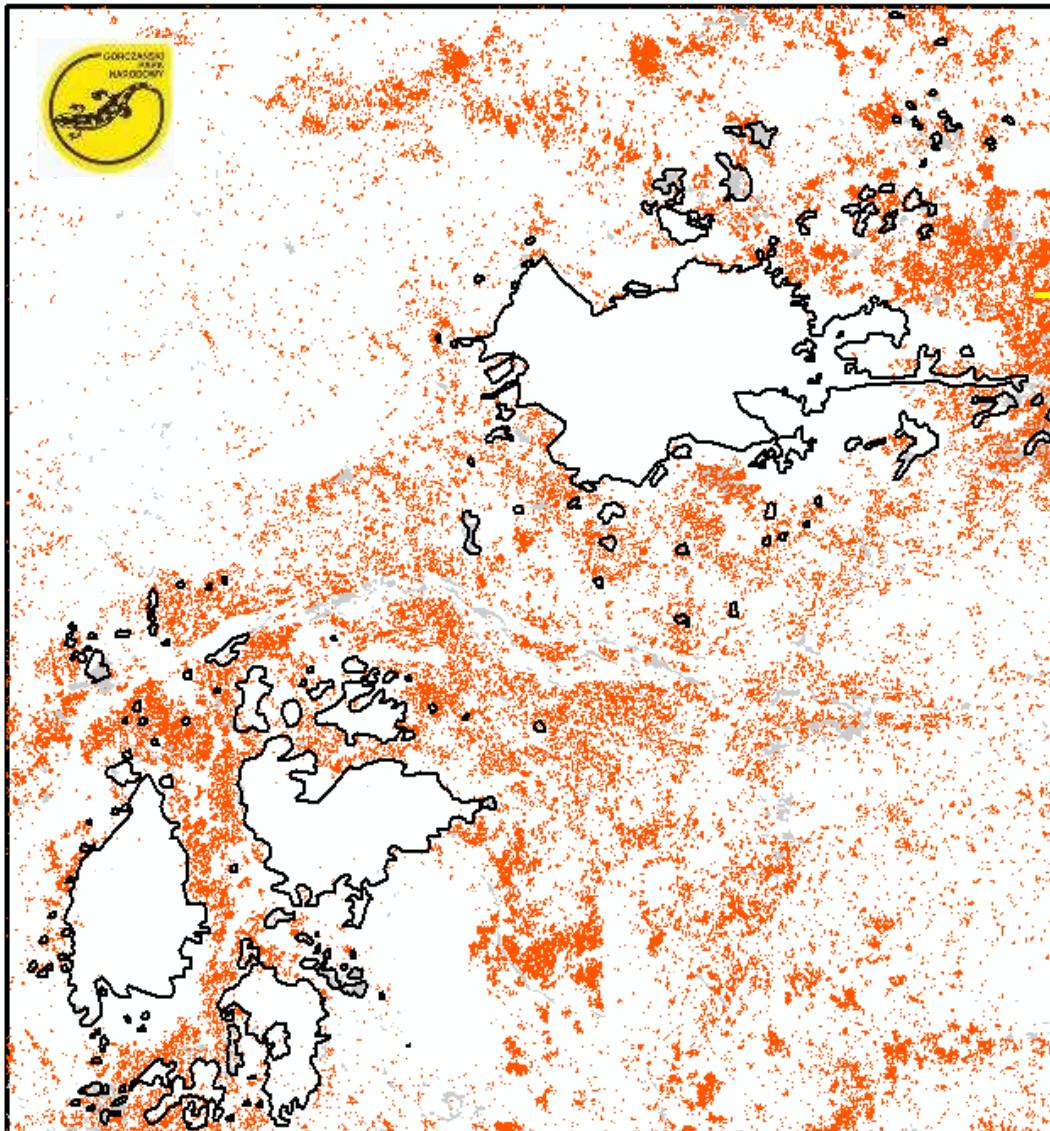


CIR digital aerial orthophoto from 2009.  
yellow vector – 3D mapping from 1997  
**158,39 ha** of dead forest and gaps



# Results (9)

## Dead coniferous stands



Changes on the „dead forest” class and gaps using GIS spatial analyses in the boundary box form 1997:

- Aug. 1997 = 114,05 ha
- Aug. 2009 = 158,39 ha

**Difference: +44,34 ha (+38,87%)**  
more dead and destroyed forests.

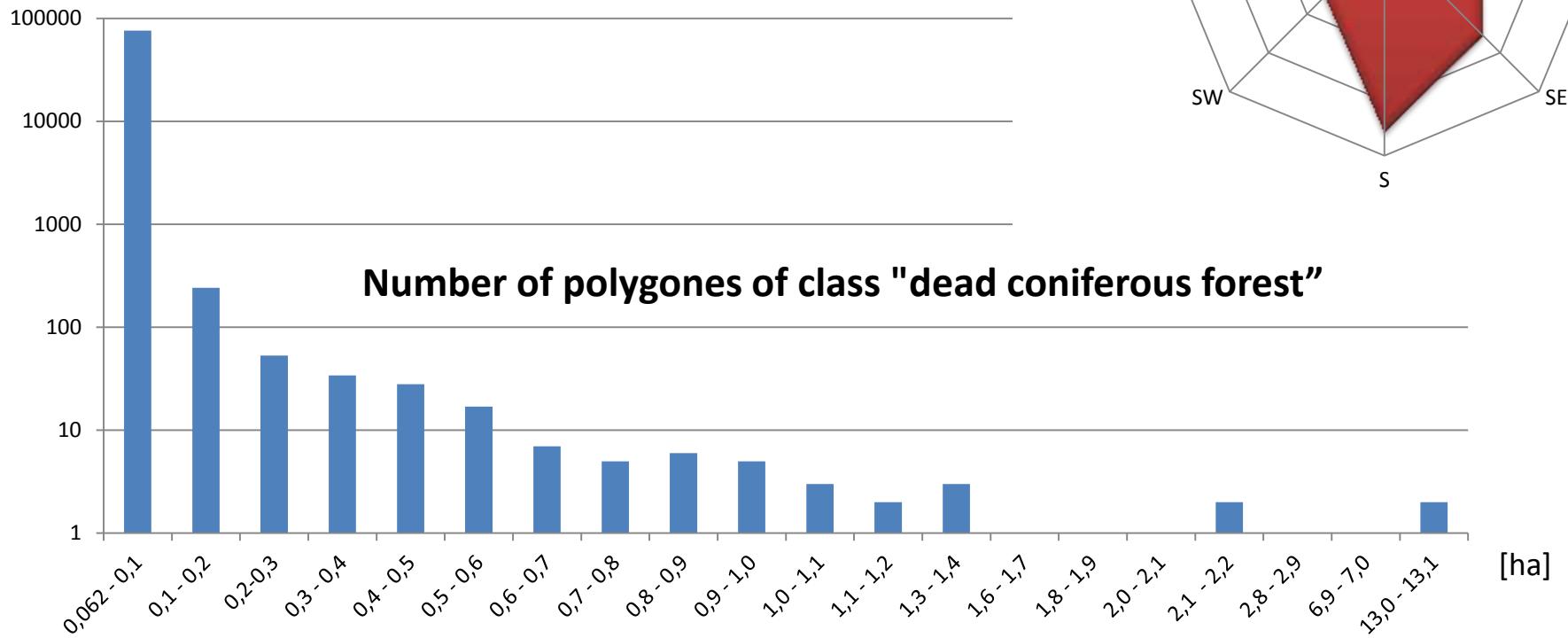
**Approx. 93% of this area is covered by young forest ! regeneration!**





# Results (10)

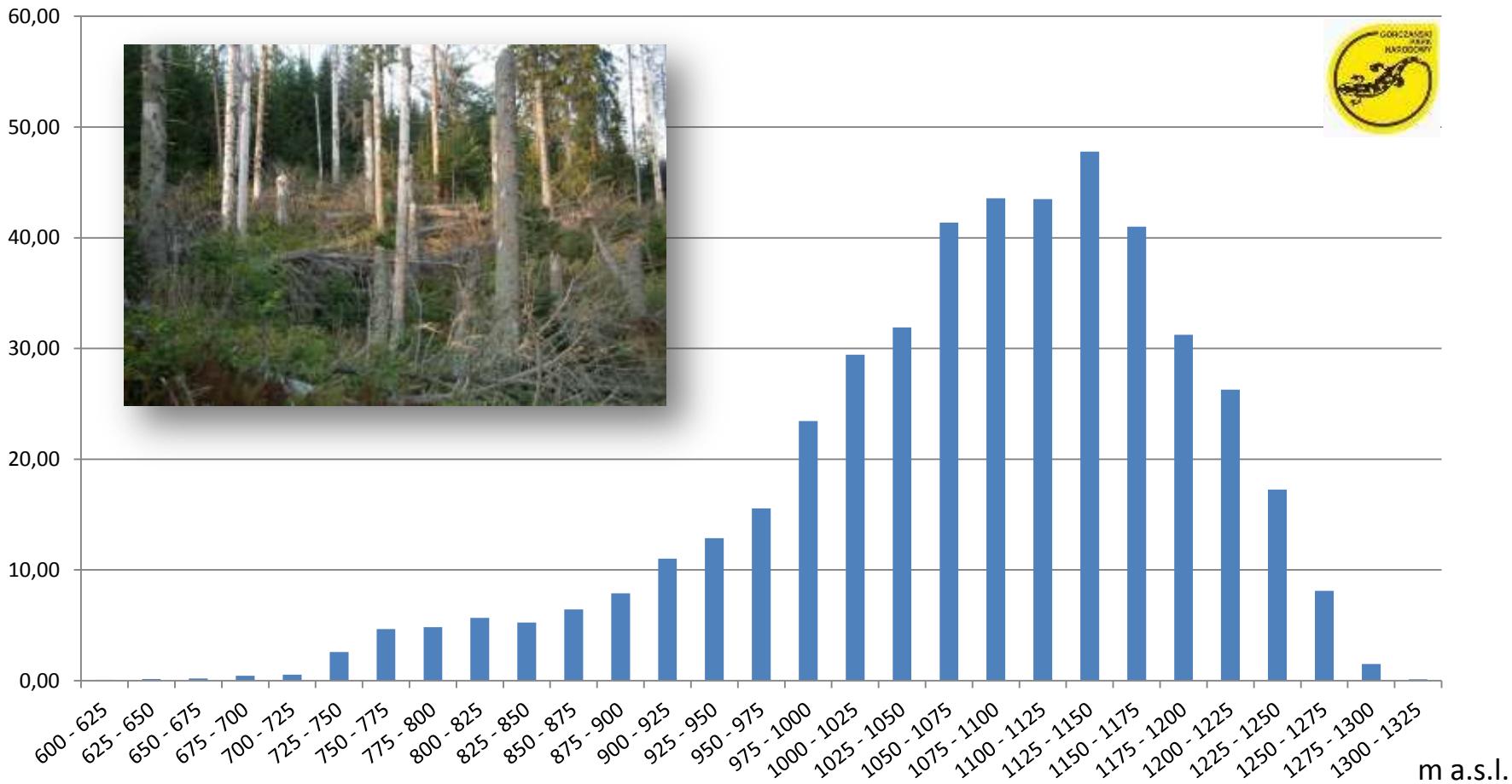
## Dead Norway spruce stands





# Results (11)

## dead Norway spruce stands – vertical distribution



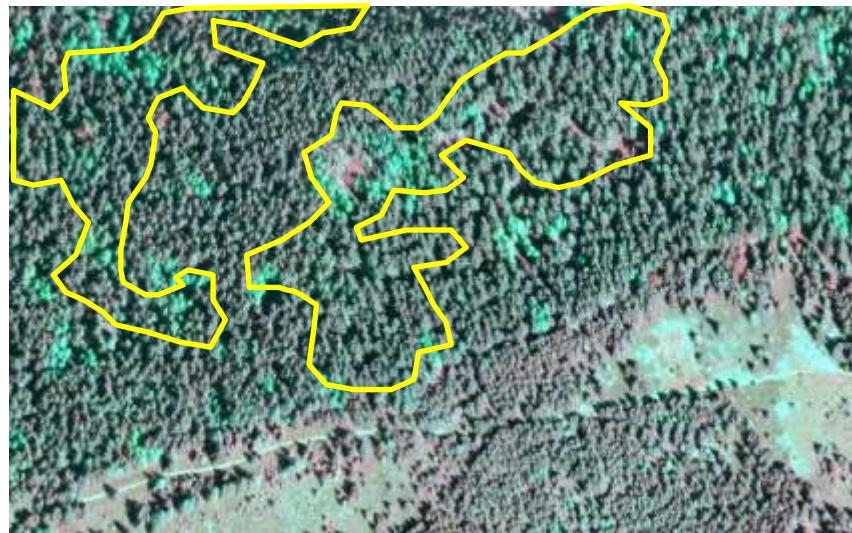
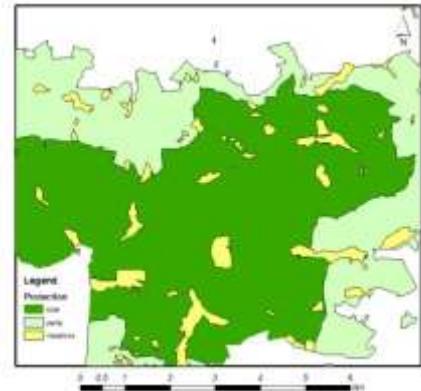


# Results (12)

## Protection: strict / partly



LULC class	strict/pasive protection		partly/active protection	
	ha	%	ha	%
Deciduous stands	1.249,78	39,62	696,73	42,99
Coniferous stands	1.355,32	42,97	810,83	50,03
Dead trees / dead coniferous stands	350,9	11,13	78,77	4,86
Gaps and shadow	42,62	1,35	18,26	1,13
Secondary forest succession h> 2m	68,94	2,19	2,09	0,13
Secondary forest succession h> 4m	18,23	0,58	0,82	0,05
Secondary forest succession h>6m	5,26	0,17	1,18	0,07
Meadows/open areas with low vegetation	50,07	1,59	7,89	0,49
Meadows/open areas without vegetation cover	12,97	0,41	3,93	0,24



CIR orthophoto: 2009 (GPN)

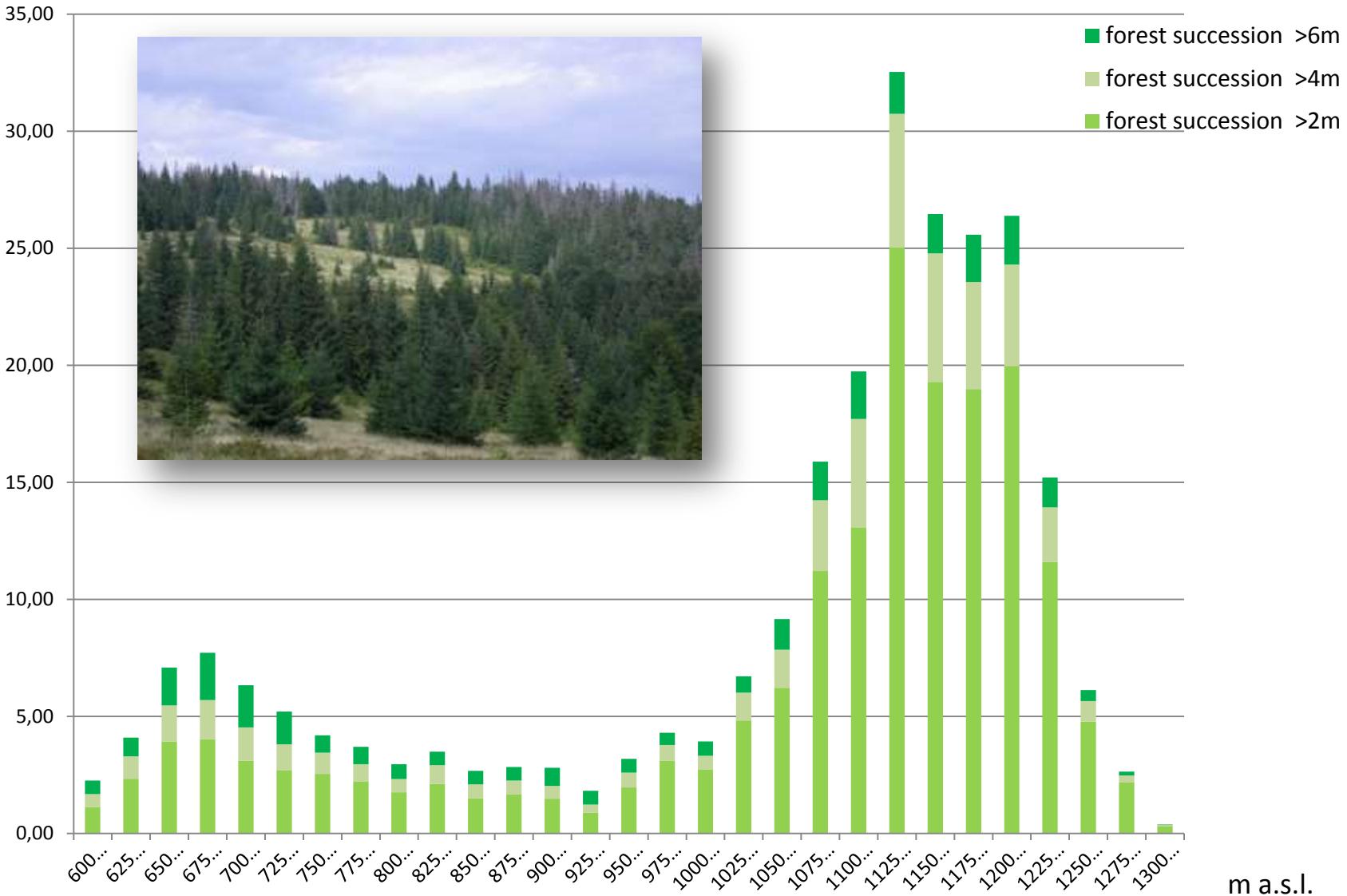


CIR orthophoto: 2011 (GPN)



# Results (13)

## secondary forest succession





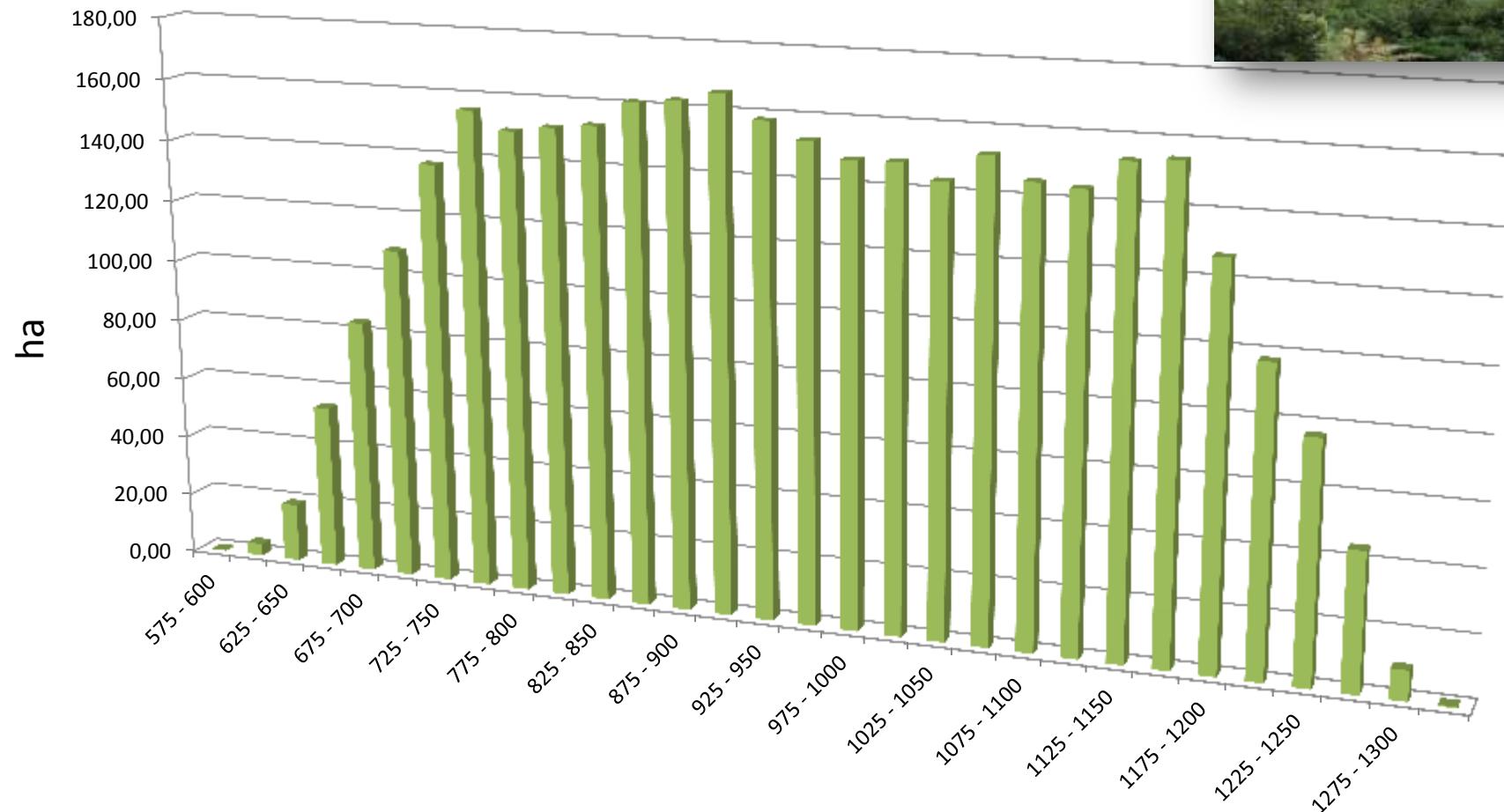
# Results (14)

## health Norway spruce stands



**Test area inside Gorce N.P. = 2.227,18 ha**

**Test area outside Gorce N.P. = 1.102,22 ha**





# Conclusions (1)

- In the Gorce NP there the dynamic processes of Norway spruce dieback started in 1980 can be still observed. This ongoing process of Norway spruce regress can be confirmed by the 440.4 hectares of dead Norway spruce stands and 67,24 gaps - identified on CIR aerial orthophotos from 2009. The Norway spruce dieback occurs mainly as a result of abiotic factors (wind, drought) and bark beetle (*Ips typographus*) outbreaks.
- a high risk of further Norway spruce dieback in Gorce NP exist, because of alive coniferous stands covering approx. 3.380,5 ha (almost half of the study area). Less threatened are forest stands lies on lower mountain belt. The European Beech (*Fagus sylvatica*) begins to be dominant tree species in Gorce NP
- In areas where 10-15 years, the dead or dying trees were not removed from the forest ecosystem, there is observed now the dynamic process of natural forest regeneration. Young trees are protected from deer damages by thicket and mazes created by dead and broken trees, roots system and branches. Left dead wood positively influence on soil reaches and microclimate conditions.



# Conclusions (2)

- GEOBIA approach turned out to be robust and objective method for Norway spruce dieback mapping in the mountains environment.
- Usage of the nDSM derived using Semi Global Matching algorithm (RSG software; Remote Sensing Graz; Joanneum Research Graz) enabled reliable and accurate classification of different LULC classes a specially different forest regeneration stages.
- Designed GEOBIA workflow (rule-set) after some adjustments can be used for further projects based on aerial CIR orthophotos from 2011 and 2012.
- digital elevation models (like CHM, nDSM) and other derivates maps (e.g. density, 95 percentile etc) based on ALS point clouds, will significantly improve classification accuracy of GEOBIA approach.



# Thanks for your the attention !!!

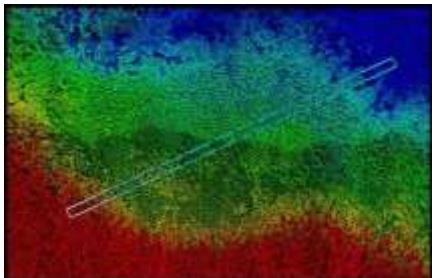




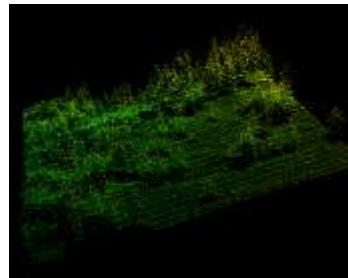


# ALS (1)

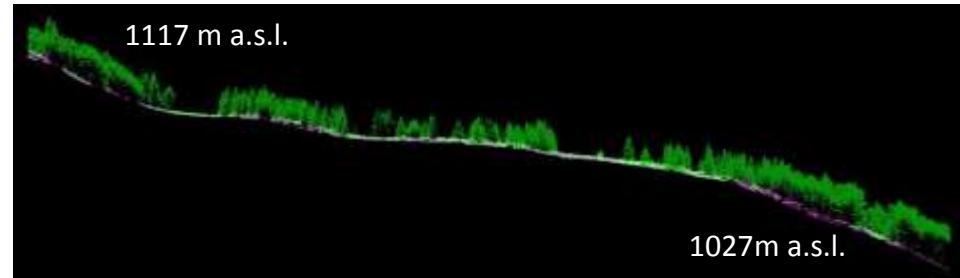
## Point cloud and derivatives



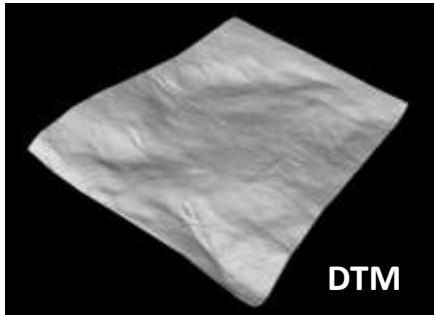
ALS



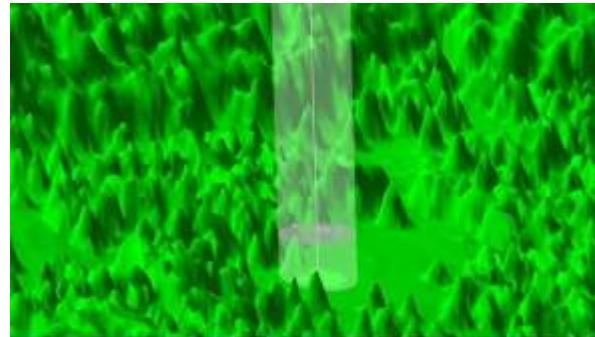
ALS 2.5D



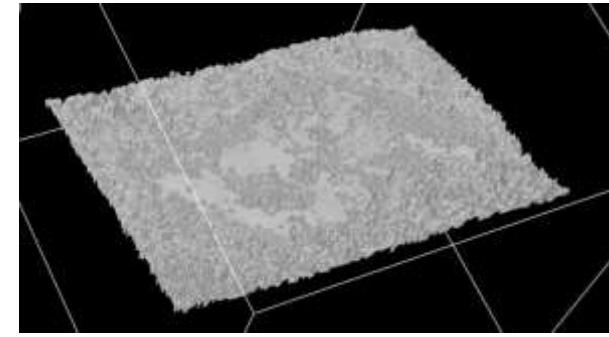
ALS profile



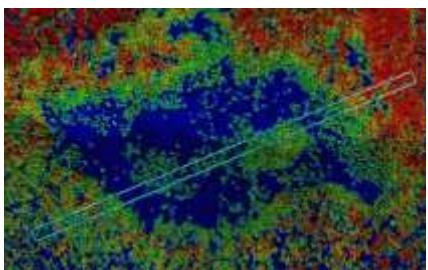
DTM



DSM (FUSION)



DSM (FUSION)



normalised ALS

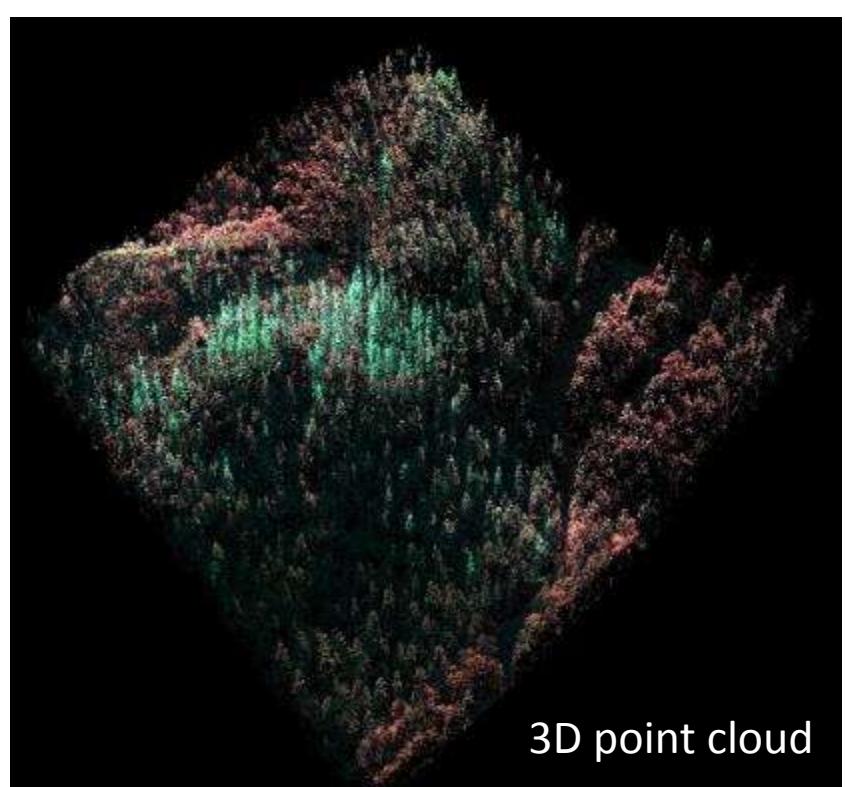
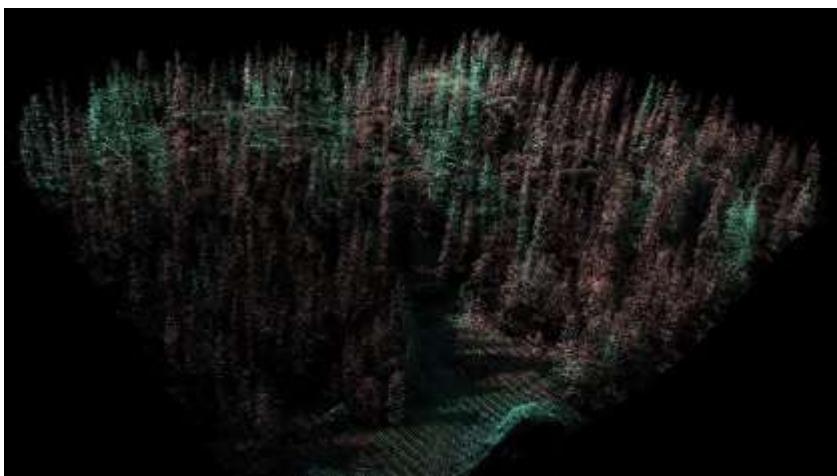


nALS profile



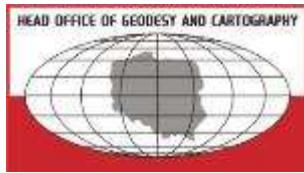
# What next - ALS

## Profiles. Color points RGB (CIR)



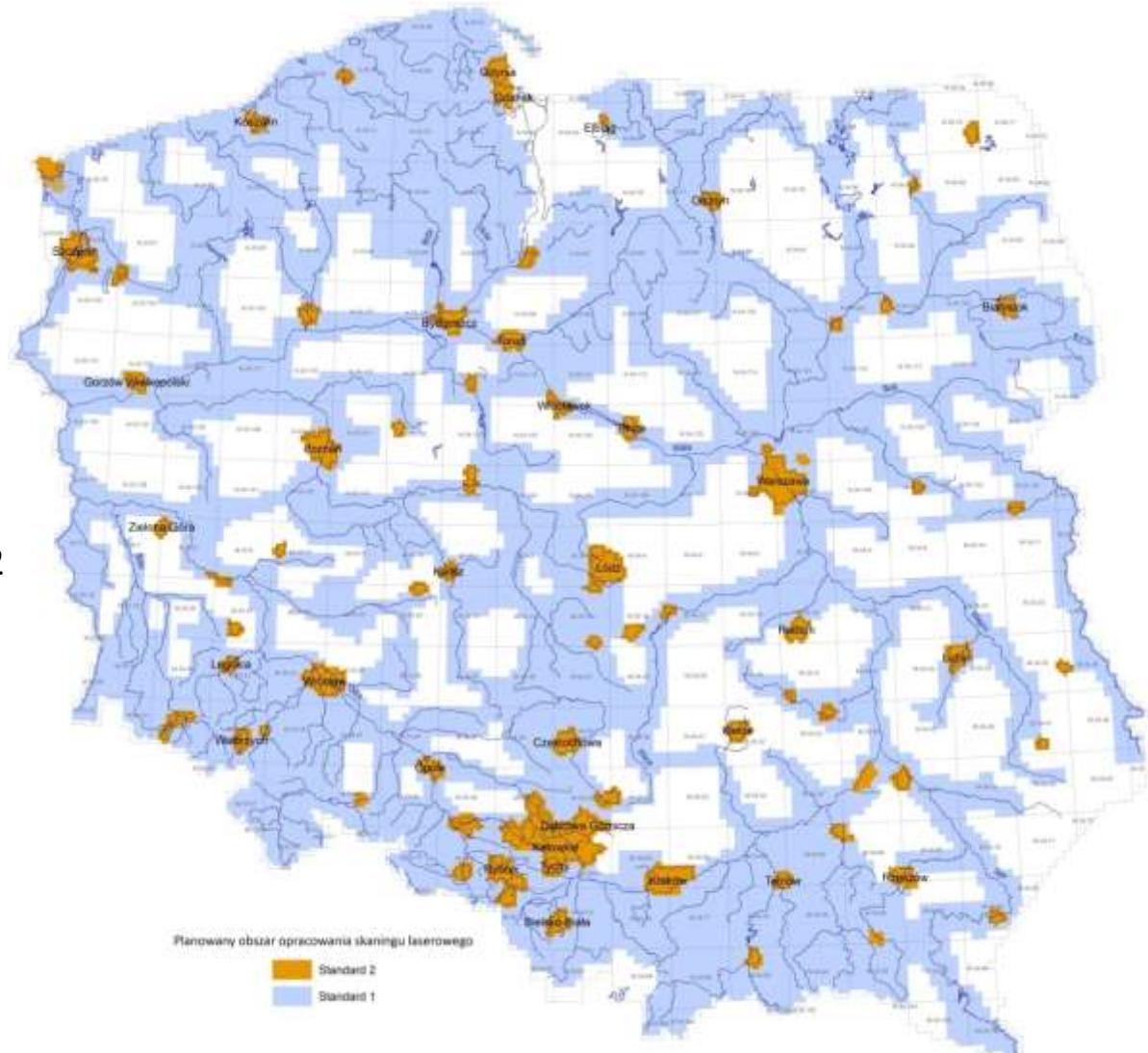


# ISOK Project 2011-2013



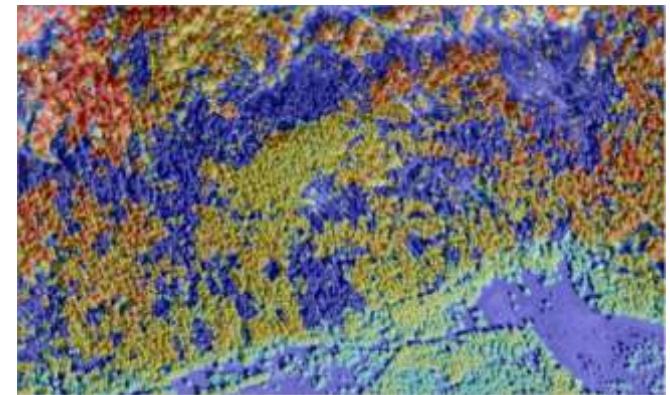
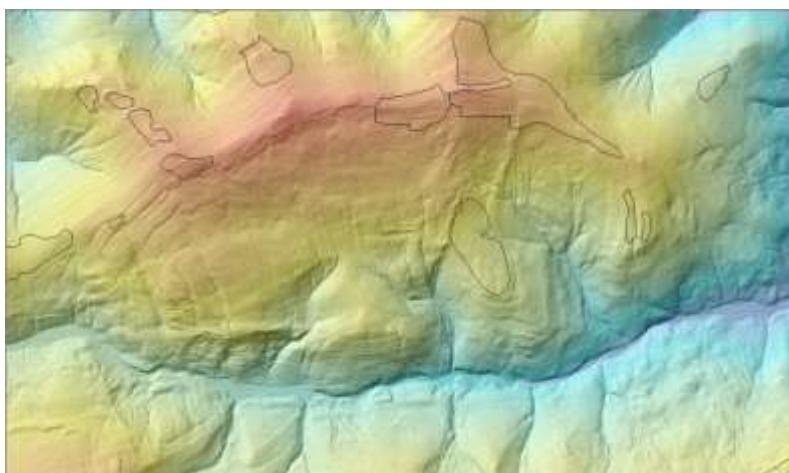
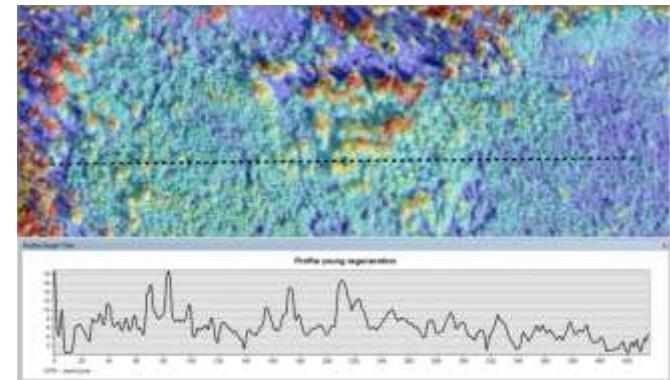
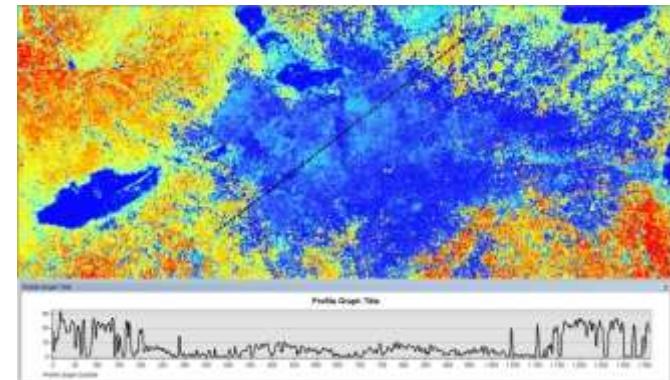
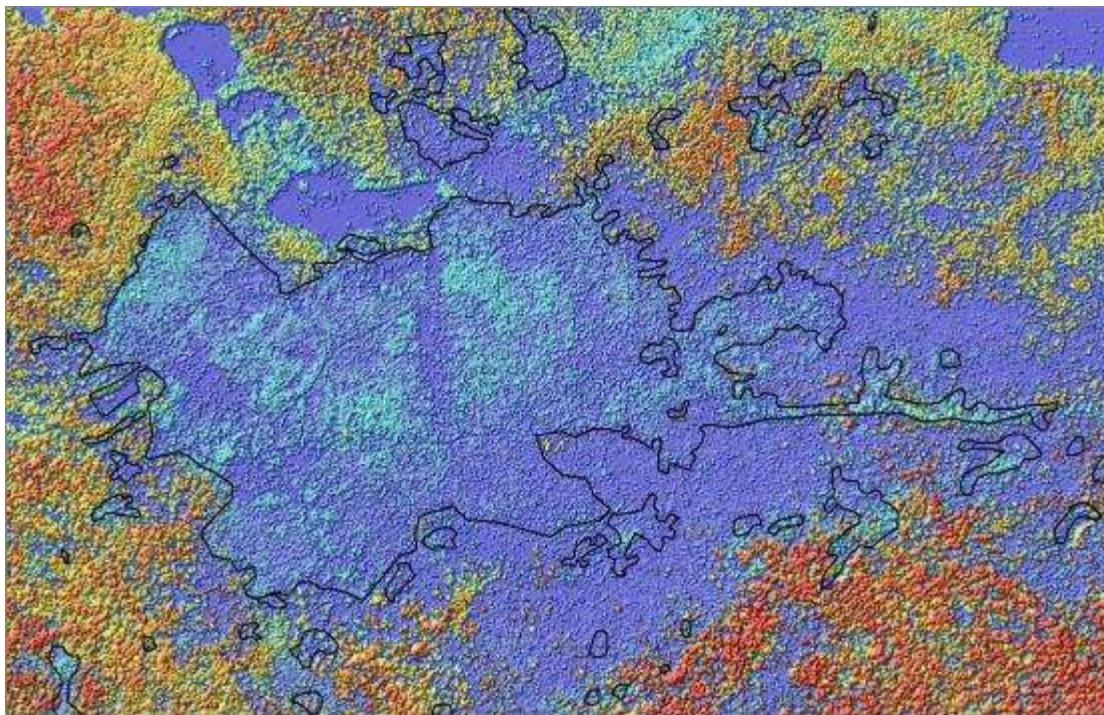
S I      4p/m<sup>2</sup>      177 000 km<sup>2</sup>  
S II      12p/m<sup>2</sup>      14 000 km<sup>2</sup>

**Total area: 191 000 km<sup>2</sup>  
in 26 months**





# ISOK Project 2013 – just got it!



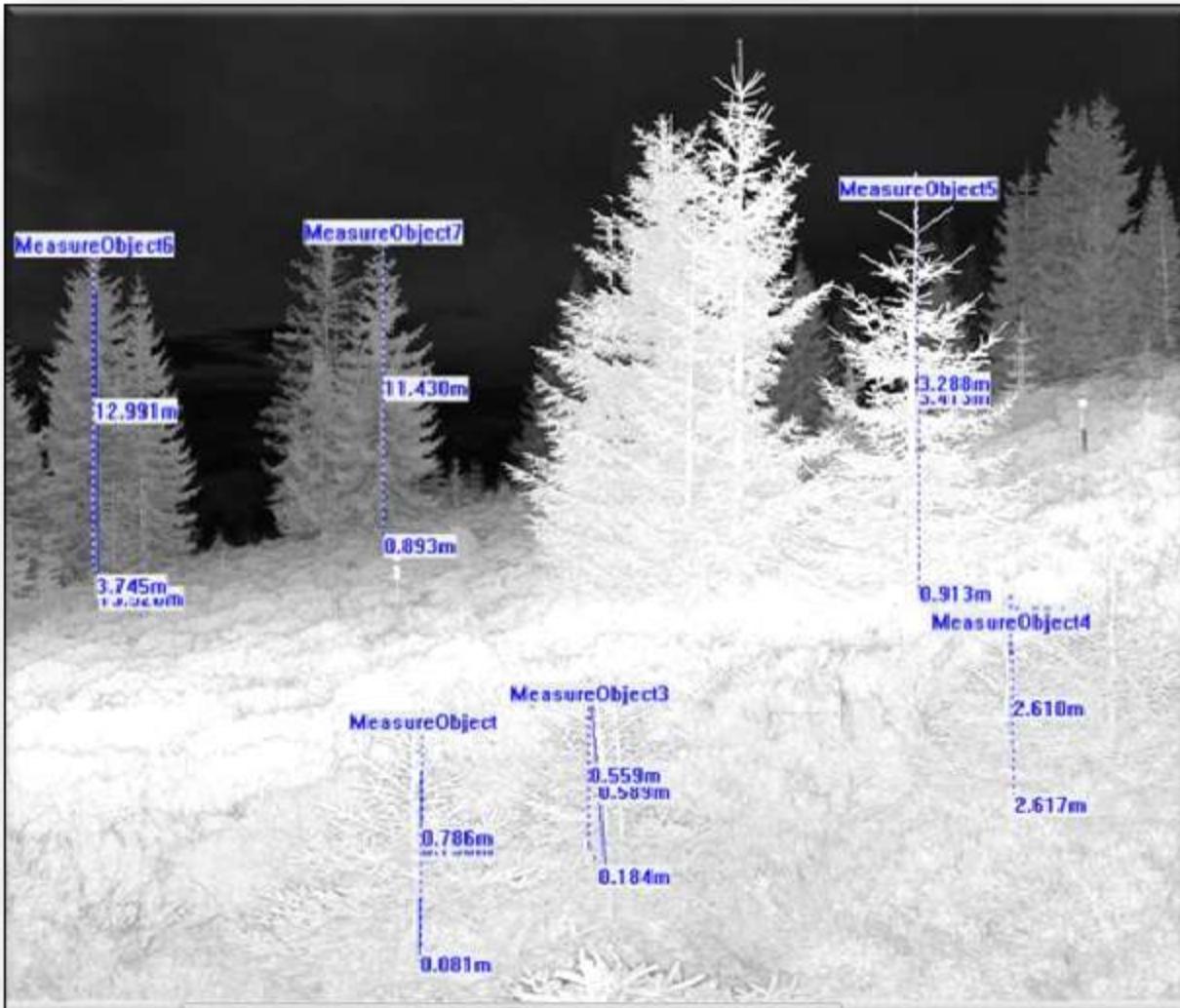


# Outlook - TLS monitoring of the secondary forest succession





# Outlook - TLS monitoring of the secondary forest succession





# TLS inventory of „bark beetle nest“

